



The DESI Survey Validation: Results from Visual Inspection of the Quasar Survey Spectra

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Abstract

A key component of the Dark Energy Spectroscopic Instrument (DESI) survey validation (SV) is a detailed visual inspection (VI) of the optical spectroscopic data to quantify key survey metrics. In this paper we present results from VI of the quasar survey using deep coadded SV spectra. We show that the majority ($\approx 70\%$) of the main-survey targets are spectroscopically confirmed as quasars, with $\approx 16\%$ galaxies, $\approx 6\%$ stars, and $\approx 8\%$ low-quality spectra lacking reliable features. A nonnegligible fraction of the quasars are misidentified by the standard spectroscopic pipeline, but we show that the majority can be recovered using post-pipeline “afterburner” quasar-identification approaches. We combine these “afterburners” with our standard pipeline to create a modified pipeline to increase the overall quasar yield. At the depth of the main DESI survey, both pipelines achieve a good-redshift purity (reliable redshifts measured within 3000 km s^{-1}) of $\approx 99\%$; however, the modified pipeline recovers $\approx 94\%$ of the visually inspected quasars, as compared to $\approx 86\%$ from the standard pipeline. We demonstrate that both pipelines achieve a median redshift precision and accuracy of $\approx 100 \text{ km s}^{-1}$ and $\approx 70 \text{ km s}^{-1}$, respectively. We constructed composite spectra to investigate why some quasars are missed by the standard pipeline and find that they are more host-galaxy dominated (i.e., distant analogs of “Seyfert galaxies”) and/or more dust reddened than the standard-pipeline quasars. We also show example spectra to demonstrate the overall diversity of the DESI quasar sample and provide strong-lensing candidates where two targets contribute to a single spectrum.

Unified Astronomy Thesaurus concepts: [Quasars \(1319\)](#); [Cosmology \(343\)](#); [Observational cosmology \(1146\)](#)

1. Introduction

The Dark Energy Spectroscopic Instrument (DESI; Levi et al. 2013) is a Stage IV dark-energy experiment. It is the successor to several Stage III experiments, including the extended Baryonic Oscillation Spectroscopic Survey (eBOSS/BOSS; Dawson et al. 2013, 2016) and the DES imaging survey (Dark Energy Survey Collaboration et al. 2016). DESI is also complementary to the Vera C. Rubin Observatory, an upcoming Stage IV imaging experiment (Ivezić et al. 2019), Euclid (Euclid Collaboration et al. 2020), and future large spectroscopic surveys (e.g., 4MOST and WEAVE; Pieri et al. 2016; Smith et al. 2016; Driver et al. 2019; Merloni et al. 2019; Richard et al. 2019).

The primary objective of DESI is to study baryon acoustic oscillations (BAO) and to measure the growth of structure through redshift-space distortions, although the extensive DESI data set permits many additional ground-breaking cosmological and astrophysical experiments (see DESI Collaboration et al. 2016a). To achieve these aims, DESI is undertaking a large-area ($\approx 10,000\text{--}14,000 \text{ deg}^2$) and sensitive ($r \approx 23 \text{ mag}$) spectroscopic survey of ≈ 40 million galaxies and ≈ 3 million quasars over the next 5 yr.

DESI is the superposition of four individual spectroscopic surveys to probe a wide range in redshift and mass: the Bright Galaxy Survey (BGS; Hahn et al. 2022), the Luminous Red Galaxy (LRG; Zhou et al. 2023) survey, the Emission-Line Galaxy survey (ELG; Raichoor et al. 2022), and the quasar survey (QSO; Chaussidon et al. 2022). The BGS selects the low- z galaxy tail out to $z \approx 0.5$ using a bright optical magnitude-limited target selection, while the LRG and ELG surveys use a combination of optical color and magnitude thresholds to select massive passive galaxies and star-forming galaxies over $z \approx 0.4\text{--}1.4$ and $z \approx 0.6\text{--}1.6$, respectively. The QSO survey uses a broad color–magnitude selection of quasars to trace the widest redshift range (over $z \approx 0\text{--}5$) and uniquely probes the high end of the overall redshift distribution in DESI ($z > 1.6$). In addition, DESI is targeting ≈ 7 million stars to enable a comprehensive census and analysis of the Milky Way (Cooper et al. 2022).

The purpose of this paper is to present to the community the results of the visual inspection (VI) of the optical spectra from the QSO survey. VI is a key element of large-scale surveys, even for massive spectroscopic surveys like DESI with tens of millions of spectra. VI provides a critical evaluation of the performance and development of the pipeline processes, serves as a test bed for the target-selection approaches, and quantifies key survey metrics (e.g., spectroscopic quality and redshift reliability). The majority of the VI in DESI has been focused on the 6 month survey validation (SV) phase where various target-selection approaches are tested and refined in order to achieve the key scientific objectives of DESI (see DESI Collaboration et al. 2023, in preparation for a description and overview of the DESI SV). The QSO target selection during SV (referred to as SV1) was more liberal than the target selection in the main DESI survey (referred to as main), probing to fainter optical magnitudes and utilizing a looser QSO selection approach to maximize the diversity and density of targets (see Chaussidon et al. 2022 for the QSO target selection). The optical spectroscopy during the SV phase was also substantially deeper than that employed in the main DESI survey (exposures up to 10 times longer), allowing for the construction of accurate and reliable VI “truth tables” with which to evaluate the overall performance of DESI.

In this paper we quantify the spectroscopic performance of DESI using both the SV1 and main QSO target-selection approaches at both the full SV depth and the shallower main-survey depth ($\approx 1000 \text{ s}$). This paper is a companion to both the QSO target selection (Chaussidon et al. 2022) and the galaxy VI (Lan et al. 2023) papers. It provides the empirical validation of the QSO target-selection approach and the motivation for a modified pipeline to optimize the selection and overall QSO yield in DESI. The basic VI approach and calculation of the performance metrics are the same for both the galaxy and QSO surveys. However, due to the large diversity of spectral types found in the QSO survey, a greater emphasis is placed on the optical spectroscopic classification in this paper, and the development and testing of a modified pipeline for the QSO survey (Chaussidon et al. 2022).

In Section 2 we present the various data sets used in the QSO VI and provide a detailed description of the VI approach adopted in DESI. In Section 3 we present the basic VI results,

focusing on two distinct VI data sets to evaluate the overall performance of the QSO survey using both the SV1 and main target selections. In Section 4 we exploit the deep VI data to calculate the performance of the QSO survey at the shallower depth of the main 5 yr survey. In Section 5 we provide a closer look at the spectroscopic data and focus on the broad diversity of the selected targets and highlight interesting sources, including examples of two objects contributing to the same optical spectrum. We finally summarize our results in Section 6. All quoted magnitudes are given in the AB system.

2. Visual Inspection: Data and Approach

In this section we provide an overview of the DESI data and the VI approach adopted in DESI. In Section 2.1 we provide a brief summary of the DESI instrument, the QSO target selection, and the standard *Redrock* spectroscopic pipeline, along with the details of the QSO target selection. In Section 2.2 we describe the approach and tools used in the VI, and in Section 2.3 we summarize the main phases of VI in the QSO survey.

2.1. DESI Data and Redrock Spectroscopic Identification Pipeline

To assist in the interpretation of the results presented in this paper, we provide a brief overview of the DESI instrument, the QSO target selection, and the *Redrock* spectral template–redshift fitting code. We refer the interested reader to the referenced papers for more details.

DESI (DESI Collaboration et al. 2016b; Abareshi et al. 2022) is a multiobject spectrograph on the NOAO 4 m Mayall telescope at Kitt Peak in Arizona. It uses 5000 robotically controlled positioners to place fibers across a 7.5 deg^2 field of view (Abareshi et al. 2022; T. Miller et al. 2023, in preparation; Silber et al. 2023). The optical signal from the fibers is fed to optical spectrographs with sensitivity over 360–980 nm. Each optical spectrograph provides medium-resolution spectra across three channels: $R > 2100$ in blue (360–590 nm), $R > 3200$ in green (566–722 nm), and $R > 4100$ in red (747–980 nm).

The overall scale of the DESI experiment requires various supporting data products and software pipelines including the DESI Legacy Imaging Surveys (Zou et al. 2017; Dey et al. 2019; D. J. Schlegel et al. 2023, in preparation), extensive spectroscopic and template-fitting pipelines (S. J. Bailey et al. 2023, in preparation; Guy et al. 2022), and pipelines to assign fibers to targets (A. Raichoor et al. 2023, in preparation), optimize the tiling and planning of the survey observations (E. Schlafly et al. 2023, in preparation), and select targets for spectroscopic observations (Myers et al. 2023).

The standard template-fitting code utilized within DESI is called *Redrock* (S. J. Bailey et al. 2023, in preparation). *Redrock* uses a set of templates to represent the spectral properties of the broad object classes identified in DESI: QSOs, galaxies, and stars.⁴⁸ Combinations of these spectral templates were constructed to provide composite solutions. *Redrock* determines the best-fitting redshift and template solutions to each DESI spectrum across the full range of redshift–template parameter space, selecting the best-fitting solutions on the basis of the lowest reduced χ^2 values.

In this paper we refer to both a standard *Redrock* pipeline and a modified pipeline. The standard *Redrock* pipeline is the same as that adopted for the DESI galaxy survey components. The modified pipeline (also referred to as “QSO maker”) also adopts *Redrock*, as in the standard pipeline, but additionally utilizes two QSO “afterburner” algorithms (QuasarNet and Mg II afterburner; see Section 2.3.2). These “afterburner” algorithms search for significant QSO features missed by *Redrock*, which can consequently result in either (1) the reclassification of a galaxy to a QSO or (2) a revised redshift estimate for an identified QSO. Any revised redshifts from the modified pipeline are then refined using *Redrock* but with a tight prior ($dz = 0.05$ width top-hat function) to prioritize solutions that match the identified features (Chaussidon et al. 2022); see Section 3.3 for a more detailed description and calculation of the performance of the modified pipeline with respect to the standard *Redrock* pipeline.

The QSO survey targets are selected using a combination of optical (g, r, z) and mid-IR ($W1, W2$) colors to reduce the contamination from stars, which can have similar optical colors to QSOs. To reduce the contamination from galaxies, an optical morphology cut is also applied, selecting only sources with a “point-spread function” morphology in the DR9 legacy imaging (Dey et al. 2019). To improve the efficiency of the QSO selection, a random-forest machine-learning approach is adopted. The random forest is trained on both QSOs and stars, aiming to optimize across 11 parameters (10 color selections from the optical–mid-IR bands plus r-band magnitude) to preferentially select the former and eliminate the latter. For more details of the QSO target selection and survey strategy, see Chaussidon et al. (2022).

For the visual-inspection data explored in this paper, the targets were selected using the more liberal SV1 selection down to $r \approx 23.5$ mag. However, to replicate the expected results in the main DESI survey, we also applied the more conservative main-survey target selection down to $r \approx 23$ mag, which we refer to here as the “main target selection” but is occasionally referred to elsewhere as SV3 (Chaussidon et al. 2022).

2.2. Visual-inspection Approach

VI of the optical spectra in DESI is crucial for several key reasons: the development and evaluation of the DESI spectroscopic pipeline processes, a quantitative assessment of the target-selection approaches, and the identification of physically interesting subsets from the selected-target populations. Given the scale of the main DESI survey (>40 million spectroscopically identified targets) and the time-consuming nature of VI, it is prohibitively expensive to visually inspect each spectrum.⁴⁹ VI by its nature is also prone to human error and therefore requires each spectrum to be inspected by more than one person. Consequently, the VI efforts in DESI are primarily focused on assisting in the development of the pipeline and target-selection approaches to optimize both the effectiveness and quality of the spectroscopic outputs.

The key focus of the VI is to assess the quality of each optical spectrum from the point of view of the measurement of a spectroscopic redshift to construct a “truth table.” Overall the same VI approach was adopted across both the QSO and

⁴⁸ The spectral templates utilized by *Redrock* were constructed using spectra from the Sloan Digital Sky Survey (SDSS) collaboration.

⁴⁹ As a rough guide, it takes of order 1–2 minutes to reliably VI an optical spectrum in the DESI quasar survey or ≈ 5 –10 yr of continual person effort to VI all 2.8 million quasar spectra expected in the main DESI survey.

Table 1
Summary of the QSO Survey Visual-inspection Phases

VI Phase	Date	N_{tiles}	N_{targets}	Description	Data Assembly
First round	04/20	1	917	VI of tile 68002	SV0
Second round	08/20	2	1432	VI of tile 68001 and re-inspection of tile 68002 target subsets	Andes
Deep-field VI	01/21	3	3671	VI of all targets in the SV deep-field tiles	Blanc
	04/22	3	18	VI of deep-field targets with initially bad fibers	Fuji
Sparse VI	04/21	27	2391	VI of selected target subsets in the SV tiles	Cascades

Note. The columns show a name to identify the QSO survey VI phase, the approximate date of the observations used in the VI, the number of DESI tiles visually inspected, the total number of targets visually inspected, a brief description of the VI phase, and the name of the internal data assembly associated with the production of the optical spectra used in the VI (see Footnote 52). A tile is defined as a unique DESI field of view.

galaxy survey components in DESI. However, due to the large variety of optical spectral types identified within the QSO survey (see Section 3.1), a significant fraction of the VI effort within the QSO survey was also devoted to the spectroscopic classification of the selected targets. Consequently, this paper focuses more on the optical spectroscopic classifications of the targets than the galaxy VI paper (see Lan et al. 2023) and, ultimately, calculates the performance of the QSO survey using only the spectrally classified QSOs rather than all targets.

A summary of the different phases of VI for the QSO survey is provided in Table 1. The initial two rounds were heavily focused on the development of the DESI spectroscopic pipeline and the refinement of the overall VI approach while the latter two rounds were more focused on quantifying the performance of the spectroscopic pipeline. Given the significant developments that occurred between the first two rounds and the later two rounds, the results presented in this paper are focused on the latter; i.e., the deep-field VI and the sparse VI. The optical spectroscopic observations were also shorter in the first two VI rounds, with exposure times comparable to the main DESI survey (≈ 1000 s) as compared to the ≈ 3 – 10 times longer exposures for the deep-field and sparse VI. However, the basic VI approach whereby each target spectrum is assessed by more than one inspector and then merged by the QSO survey VI lead (TMD for the first two rounds and DMA for the last two rounds) is common to both phases.⁵⁰

Each target spectrum is evaluated using the *Prospect* visualization tool.⁵¹ A more extended description of *Prospect* is provided in Lan et al. (2023); however, the salient details relevant for an understanding of the QSO survey VI are given here. *Prospect* displays the unsmoothed optical spectrum and associated noise/error spectrum for each target spectrum; see Figure 1 for example spectra. The nine best-fitting redshift and spectral-template solutions calculated by the *Redrock* spectral fitting code are also listed, along with the $\Delta\chi^2$ differences between each subsequent best-fitting solution. The visual inspector can smooth the spectrum and evaluate the spectral quality taking into account the overlapping regions of the three different spectral arms where breaks and discontinuities in the optical spectrum can occur. The visual inspector is then required to record:

1. Any problems and issues in the optical spectrum (e.g., poor sky subtraction; significant breaks and discontinuities within a spectral arm).
2. An assessment of the optical spectral classification (QSO, galaxy, or star) on the basis of the shape of the continuum emission and the identified emission and absorption lines. Targets are primarily classified as a QSO from the detection of broad permitted emission lines, strong nonstellar continuum, and/or prominent narrow high-excitation emission lines. Galaxies are identified based on the detection of low-excitation narrow emission and absorption features while stars are primarily identified from the detection of a stellar continuum with associated absorption lines at $z \approx 0$.
3. The identification of physically interesting features or spectral subtypes (e.g., extreme absorption or emission features; identification of active galactic nucleus, AGN, spectral features within a galaxy spectrum; unusual optical continuum shapes; identification of a broad absorption-line quasar (BALQSO), damped Ly α system, blazar, or two objects contributing to the spectrum).
4. An assessment of the spectroscopic redshift. The spectroscopic redshift is manually calculated by the visual inspector within *Prospect* by aligning the spectral features to the observed-frame wavelengths of potential emission and absorption lines, overlaid on the optical spectrum. The spectroscopic redshift can be refined in this way to four decimal places using a zoomed-in view of the spectrum if necessary.
5. An assessment of the quality of the optical spectroscopic redshift using a numerical code from 0–4, defined as the VI quality class and described in more detail below.

The VI quality class indicates the reliability of the optical spectroscopic redshift measurement, as assessed by the visual inspector. The reliability of a spectroscopic redshift is related to more than just the signal-to-noise ratio (S/N) of the spectrum and also depends on the spectral shape, strength of spectral features (i.e., emission and absorption lines), and the redshift of the target (since the redshift will dictate which spectral features are present in the observed wavelength range). Example spectra to illustrate the five different VI quality classes are provided in Figure 1 and associated qualitative descriptions are given below.

⁵⁰ In the first two rounds, every spectrum was evaluated by three to four visual inspectors, while in the latter two rounds, two visual inspectors evaluated each spectrum. In addition, the QSO survey VI lead evaluated each spectrum where there was significant disagreement between visual inspectors.

⁵¹ <https://github.com/desihub/prospect>

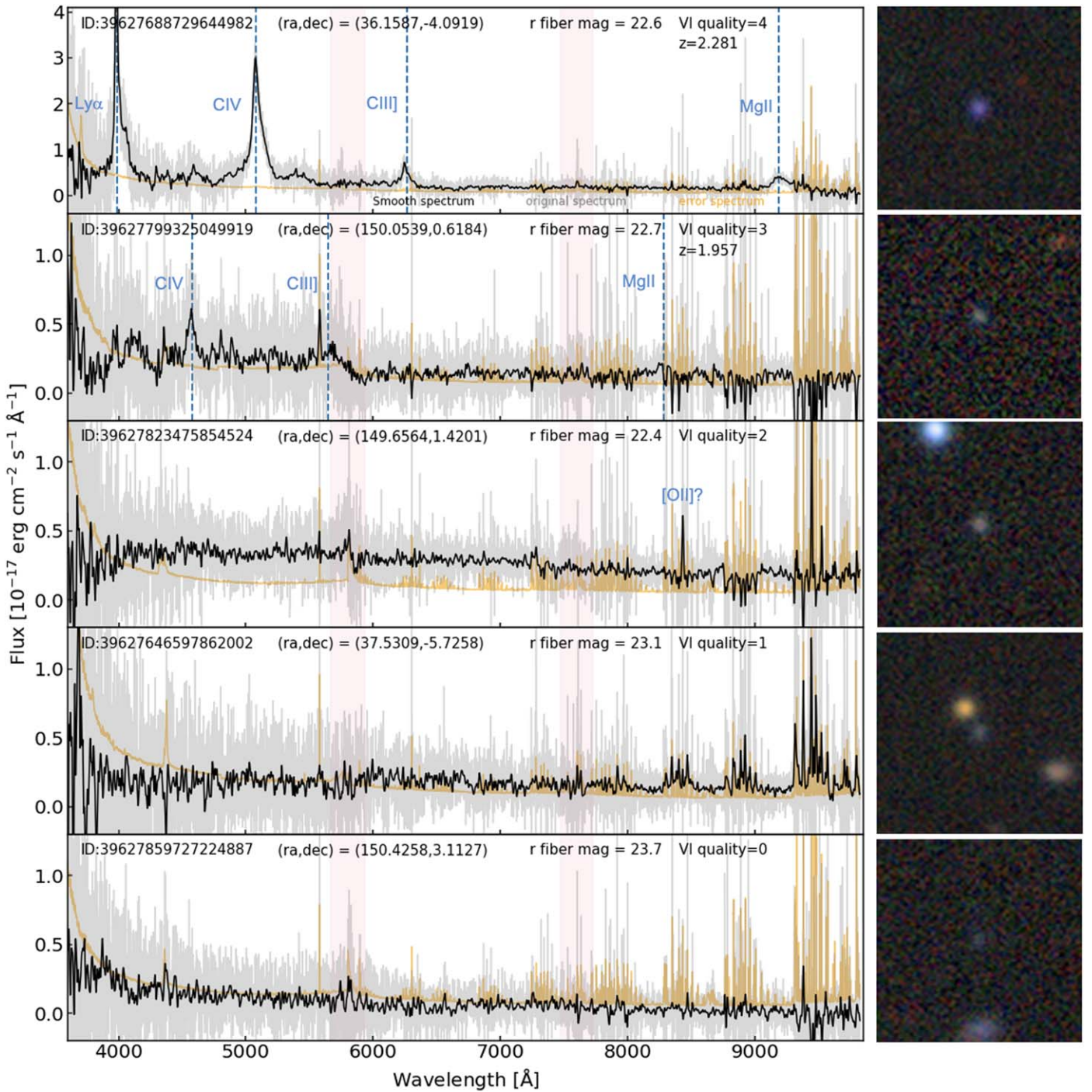


Figure 1. Example DESI spectra illustrating the different VI quality classes (left) and thumbnail images ($18'' \times 18''$) centered on each target (right). Salient information for each target is plotted at the top of each spectrum including the VI quality flag. For each target both an unsmoothed (gray) and smoothed (black) spectrum are plotted along with the associated error spectrum (orange) and the overlapping wavelength ranges for the three different spectral arms (pale pink shaded regions). The most prominent emission lines identified in the two high-quality spectra (with VI quality flags of 3 and 4) are highlighted using blue vertical dashed lines as well as the potential identification of a single emission line ([O II] at $z = 1.261$) for the VI quality flag 2 target. We note that these visually inspected spectra were obtained with 10x longer exposures than those used in the main DESI survey.

VI quality class 4: the secure identification of two or more spectral features (i.e., emission or absorption lines) indicating a confident spectroscopic redshift. Thanks to the high spectral resolution of the DESI data, the [O II] λ 3727,3729 doublet can often be spectrally resolved, yielding a confident spectroscopic redshift even in the absence of no other identified features (see Figure 3 of Lan et al. 2023 for an example).

VI quality class 3: the identification of one secure spectral feature plus additional weak spectral features indicating a probable spectroscopic redshift.

VI quality class 2: the identification of one spectral feature indicating a possible spectroscopic redshift.

VI quality class 1: continuum identified but no clear identified spectral features indicating an unreliable redshift.

Table 2
Tiles Used in the Deep-field Visual Inspection

Tile ID	Position (R.A., Decl.) (deg)	t_{exp} (s)	Common Name
80605	(36.448, -4.601)	7020	XMM-LSS
80607	(106.740, 56.100)	9210	Lynx
80609	(150.120, 2.206)	8300	COSMOS

Note. The columns show the identification number of each DESI tile, the R.A. and decl. of the tile center, the median dark-time exposure time, and the common names typically adopted for these well-studied fields.

VI quality class 0: a weak or absent signal indicating a problem or issue with the optical spectrum.

The VI lead merges the results from all of the visual inspectors to determine the spectroscopic redshift, VI quality class, and spectral type for each target. We calculate the spectroscopic redshift difference between visual inspectors using the following basic formalism:

$$dz_{A,B} = \frac{|z_A - z_B|}{(1 + z_A)}, \quad (1)$$

where in this case $dz_{A,B}$ refers to the difference between the two VI redshifts, z_A and z_B , and which we define as dz_{VI} .

A mean spectroscopic redshift is calculated when there is good agreement between the visual inspectors ($dz_{\text{VI}} < 0.0033$), and a mean VI quality class is calculated when the quality class differs by ≤ 1 between visual inspectors.

For targets where there is a significant difference between the visual inspectors in terms of the spectroscopic redshift ($dz_{\text{VI}} \geq 0.0033$), the VI quality class (a difference of more than 1), or the selected spectral type (more than one spectral type selected), the VI lead uses *Prospect* to reevaluate the optical spectrum and manually determine the target redshift, quality class, and optical spectral type. Overall, for the QSO survey, the VI lead reevaluates $\approx 20\%$ of the optical spectra.

A spectrum is considered high quality with a robust spectroscopic redshift when the VI quality class is ≥ 2.5 (referred to hereafter as VI ≥ 2.5), calculated either from the average quality class of the visual inspectors or by the VI lead, when the spectrum is reevaluated. Otherwise, the spectrum is considered low quality with an unreliable spectroscopic redshift; i.e., VI < 2.5 .

2.3. Visual-inspection Data

The data analyzed in this paper is taken from the last two VI phases when the pipeline process was mature and stable: the deep-field and sparse VI (see Table 1). Overall, ≈ 6000 spectra were visually inspected in these latter VI phases. The exposures for the optical spectra from these data sets are ≈ 3 –10 times deeper than the nominal main DESI depth; see Tables 2 and 3 for the depth of each tile. The higher S/N provided by these deep spectra allows for more reliable VI results and, consequently, more accurate truth tables. Since these deep spectra were obtained not from a single very long exposure but from the combination of many shorter exposures, they also allow for the construction of multiple shallower target spectra to replicate the quality of the spectra at the ≈ 1000 s depth of the main 5 yr survey, an aspect that we exploit to calculate the overall performance of the main DESI survey; see Section 4.

Table 3
Tiles Used in the Sparse Visual Inspection

Tile ID	Position (R.A., Decl.) (deg)	t_{exp} (s)
80620	(144.000, 65.000)	6920
80622	(155.000, 32.325)	5390
80669	(38.000, 0.500)	4550
80673	(85.500, -20.200)	3540
80674	(87.000, -23.200)	2750
80675	(98.500, 44.500)	4120
80676	(97.500, 47.700)	4810
80677	(104.500, 36.000)	3550
80678	(102.500, 39.000)	4880
80679	(111.000, 41.500)	3470
80680	(111.000, 44.800)	6050
80681	(115.000, 32.375)	4800
80682	(115.000, 32.375)	4420
80683	(116.000, 15.500)	3890
80684	(114.500, 18.400)	3890
80685	(120.000, 34.000)	5380
80686	(124.000, 34.300)	3540
80688	(130.700, 22.300)	5560
80690	(135.000, 32.375)	6370
80692	(139.000, 32.375)	5620
80693	(135.000, 83.000)	5250
80694	(162.000, 83.000)	3650
80699	(155.000, 32.375)	4130
80700	(159.000, 32.375)	4800
80707	(192.900, 27.100)	5860
80711	(213.000, 51.450)	5280
80712	(217.000, 53.550)	3060

Note. The columns show the identification number of each DESI tile, the R.A. and decl. of the tile center, and the median dark-time exposure time.

2.3.1. Deep-field VI Data Sets

The focus of the deep-field VI was to undertake a complete VI of the three deepest SV tiles observed and processed within the Blanc data assembly.⁵² The key details of these three tiles are provided in Table 2 along with their more common field names: the XMM-LSS, Lynx, and COSMOS survey fields. Overall, all targets in these fields that met the SVI quasar-target selection were visually inspected. The majority (3671) of the deep-field targets were visually inspected using spectra from the Blanc data assembly. However, we also visually inspected the following spectra using the most recent Fuji data assembly: (1) 18 additional targets that fell on fibers initially classified as “bad” in the Blanc data assembly, and (2) all 259 border-line low-quality targets with VI = 2.0–2.9, identifying the majority as high-quality spectra (VI > 2.5) with the Fuji data assembly.

The deep-field VI data set provides the most complete evaluation of the overall quality of the optical spectra in the DESI quasar survey. It allows for a quantitative assessment of the standard *Redrock* pipeline process in the measurement of optical spectroscopic redshifts and the identification of the optical spectral classes within the quasar survey.

⁵² The data assembly refers to the DESI internal data release. With the exception of the first data assembly (SV0), all subsequent data assemblies have been named, in alphabetical order, after mountain ranges; see Table 1. Consequently, Blanc and Cascades refer to the third and fourth data assemblies, respectively. The most recent data assembly relevant for this paper is Fuji.

Table 4
Target Subsets Explored in the Sparse VI

Target Selection	<i>N</i>
QuasarNet	1307
SQUEzE	962
MgII afterburner	765
Unique missed QSOs	1717
MgII absorption systems	138
Nonrepeatable redshifts	731
Unique targets	2391

Note. Each row shows the number of targets visually inspected for each target-selection approach in the sparse VI. The total number of unique targets from the missed QSO selection approaches is highlighted in bold in addition to the total number of unique targets visually inspected across all target-selection approaches; the number of overlapping targets between each selection approach is shown in Figure 2.

2.3.2. Sparse VI Data Sets

The three fields inspected in the deep-field VI (described in Section 2.3.1) only comprise $\approx 10\%$ of the SV tiles in the quasar survey. To complement the deep-field VI we also visually inspected targets in the other 27 SV tiles observed and processed within the Cascades data assembly. However, unlike the deep-field VI, we focused our efforts on visually inspecting key subsets of the selected quasar targets to either improve the source statistics of comparatively rare target subsets identified in the deep-field VI or to test potential issues in the identification pipeline. Consequently, the VI of these fields is referred to as the “sparse VI.” The key details of these 27 tiles are provided in Table 3 and a breakdown of the target selections for the sparse VI is given in Table 4 and described below.

For the quasar survey, the main focus of the sparse VI was to calculate the performance of several independent quasar-identification approaches (QuasarNet, SQUEzE, and Mg II afterburner); these approaches are referred to generically here as “afterburners” since they are performed on the DESI spectroscopy following the standard *Redrock* spectroscopic pipeline. As demonstrated from the deep-field VI in Section 3, while the spectral classification of a quasar by the standard *Redrock* pipeline is highly reliable, it misses a nonnegligible fraction of genuine quasars, the majority of which we can recover through the afterburner approaches; see Section 3.2 and the brief afterburner descriptions below. We generically refer to QSOs missed by *Redrock* as “missed QSOs.”

QuasarNet (QN; Busca & Balland 2018) utilizes a deep convolutional neural network classifier with multiple layers of convolution to identify emission lines and to calculate the most likely target redshift. SQUEzE (SQ; Pérez-Ràfols & Pieri 2020a; Pérez-Ràfols et al. 2020b) also adopts a machine-learning approach but uses a random-forest classifier to assess the identification of emission peaks and to calculate the most likely target redshift. The performance of QN and SQ has been previously evaluated using the visually inspected spectra from the SDSS BOSS quasar survey (Farr et al. 2020) to assess the utility of these approaches in the quasar identification in the DESI quasar survey. By comparison, the Mg II afterburner searches for significant broad Mg II emission at the best-fitting *Redrock* redshift for systems spectrally

classified as galaxies (see Section 6.2 of Chaussidon et al. 2022). It therefore differs from QN and SQ in not independently calculating the target redshift but switches the spectroscopic classification from galaxy to quasar when probable broad Mg II emission is detected.

In selecting targets for the missed QSO component of the sparse VI, we required an optical magnitude of $r < 23.2$, the nonidentification of a quasar from the best-fitting *Redrock* template solution from the Cascades data assembly, and a minimum confidence threshold depending on the afterburner approach: $QN_C_LINE_BEST > 0.95$ for QN, $PROB > 0.4$ for SQ, or a $\Delta\chi^2 > 16$ improvement in the fitted spectra when broad Mg II emission is included for the Mg II afterburner. We chose these thresholds based on the results from the afterburner codes performed on the deep-field VI to achieve a balance between selecting genuine missed QSOs and providing a data set for which to further assess the reliability of the afterburner approaches. Although the three afterburners differ in their identification approaches, there is a reassuringly high level of overlap between the selected targets; see the target-selection approach breakdown in Table 4 and the Venn diagram in the lower panel of Figure 2.

In addition to the missed QSOs, we also visually inspected (1) a sample of $r < 23.2$ mag candidate Mg II absorption systems and (2) targets where the best-fitting *Redrock* spectral template–redshift solutions differed significantly between the coadded spectroscopy and shallower nominal main DESI survey depth data. The latter are referred to as “nonrepeatable redshifts” and selected when

$$\Delta\chi^2(\text{short}) > 20 \ \& \ \Delta\chi^2(\text{long}) > 100 \ \& \ dz > 0.05, \quad (2)$$

where dz refers to the relative redshift difference between the measured redshifts of individual short (>700 s) and coadded long (>3000 s) exposure spectra, and $\Delta\chi^2$ refers to the difference in reduced $\Delta\chi^2$ between the best-fitting and second-best-fitting *Redrock* spectral template solutions. See Equation (1) for the basic formalism for calculating dz using the short- and long-exposure redshifts.

The VI of the Mg II absorption systems was undertaken to assist in the development of an Mg II absorption-line selection tool and will be presented in L. Napolitano et al. (2023 in preparation). The VI for the nonrepeatable redshifts were taken to analyze the spectroscopic pipeline and are not further investigated in this paper. For completeness, we summarize the number of selected targets from these target-selection approaches in Table 4 and illustrate their modest overlap with respect to the missed QSOs in the top panel of Figure 2.

3. Visual Inspection: Basic Results

In this section we present the basic results from the VI of the quasar survey using the deep coadded SV spectra, focusing on the deep-field VI (Section 3.1) and the missed QSO targets in the sparse VI (Section 3.2); we defer our assessment of the expected performance from the shallower main-survey spectra to Section 4. To motivate the missed QSO results, in Section 3.1 we also quantify the effectiveness of the standard *Redrock* spectroscopic pipeline in the identification of QSOs. In Section 3.3 we use the VI results to test the modified pipeline approach presented in Chaussidon et al. (2022). When comparing our VI results to those from the *Redrock* spectroscopic pipeline, we have used the redshifts and spectral

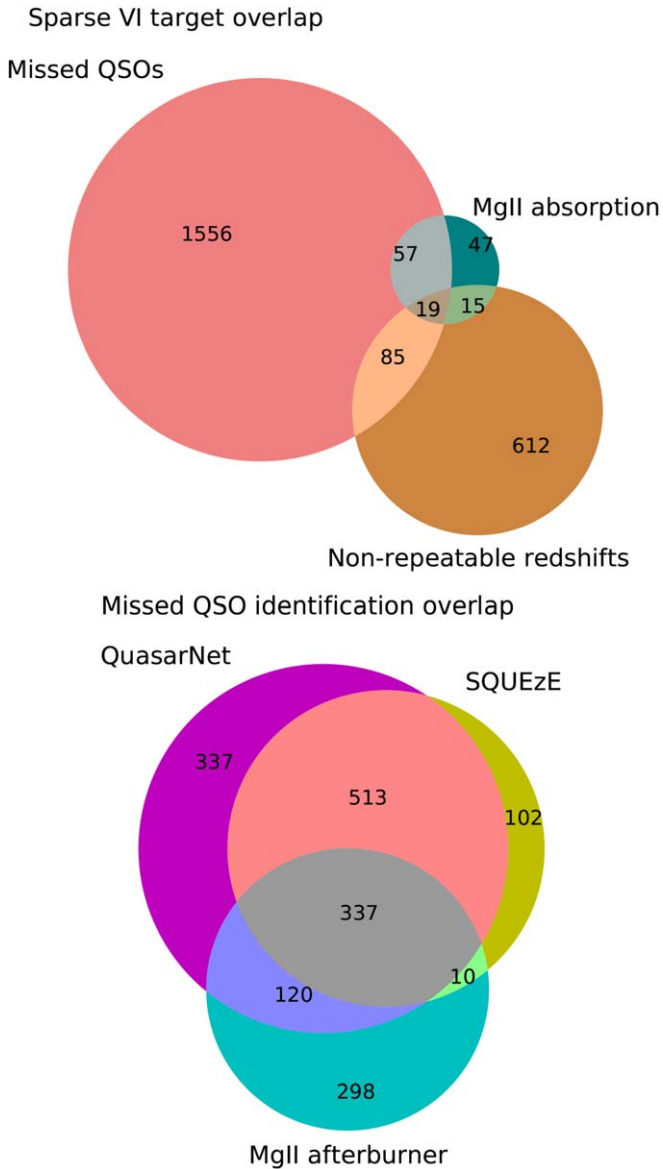


Figure 2. Venn diagrams showing (top) the overlap between the missed QSO sample, the Mg II absorption systems, and the targets with nonrepeatable redshifts and (bottom) the overlap in the selection of missed QSOs between the three afterburner selections across the sparse VI fields.

classifications from the most recent Fuji data assembly to ensure the inclusion of the latest updates to the spectroscopic pipeline and data-reduction procedures.

3.1. Deep-field VI

In Figure 3 (top panels) we show the distribution of VI quality classes and high-quality ($VI \geq 2.5$) VI redshifts from the deep-field VI for both the SV1 and main target selections. The basic results from the deep-field VI are also presented in Table 5. The majority of the optical spectroscopy is of high quality ($VI \geq 2.5$), yielding reliable spectroscopic redshifts and optical spectral classifications; we also note that there are $\approx 10\times$ more $VI = 4$ spectra than $VI = 3$ spectra.

A larger fraction of targets ($\approx 93\%$) that meet the conservative main-target selection have higher-quality spectra than is found for the fainter and more liberal SV1 selection ($\approx 86\%$). The high-quality redshift distributions peak around

$z \approx 1$ for both the main and SV1 target selections and include all spectroscopic classes (QSOs, galaxies, and stars); indeed, the significant peak at $z \approx 0$ is due to a nonnegligible fraction of stars. At $z > 1.6$ (the redshift threshold uniquely traced by the QSO survey within DESI), there are modest differences between the SV1 and main target selection approaches, demonstrating that the more conservative main target selection provides comparable high-redshift source statistics to the liberal SV1 selection. The excess number of $z < 1.6$ targets in the SV1 selection are a combination of galaxies, lower-redshift QSOs, and stars.

In Figure 4 the distributions of optical spectral class for both the main and SV1 target selections are shown, which are further quantified in Table 5. The main selection is significantly more efficient than SV1 at selecting QSOs ($\approx 34\%$ for SV1 and $\approx 71\%$ for main). However, a significant fraction of targets are classified as either galaxies or stars for both selections; indeed, for SV1, galaxies are more dominant than QSOs. Such a large diversity in optical spectral classification is not seen in the DESI galaxy surveys (Lan et al. 2023) and is largely due to the challenge in robustly selecting QSOs from stars and galaxies using only photometric data. At the faint optical magnitudes probed by DESI, distinguishing between galaxies and QSOs becomes more challenging than for shallower optical surveys such as the SDSS since the emission from the host galaxy can become as significant as the QSO for the lower-luminosity (or more dust-reddened) systems identified.

In Figure 5 (left) the r -band fiber magnitude versus redshift for the SV1 target selection in the deep-field VI is shown, with targets plotted on the basis of optical spectral class. The galaxies and QSOs have different redshift distributions with the galaxies predominantly at low redshift, with few at $z > 1.5$, while the QSOs extend out to $z \approx 5$, peaking at $z \approx 1.0$ – 2.5 . Most of the low-quality spectra have faint magnitudes ($r > 23$ mags), at least partially explaining the significantly higher fraction of low-quality spectra in the fainter SV1 selection than for the main selection (i.e., lower-S/N data); see Table 5. However, the majority of the low-quality identifications occur at distinct redshift peaks and ranges. These redshift peaks are largely due to *Redrock* erroneously identifying a noise feature (often due to poor sky subtraction) as an emission line; e.g., the most prominent peak around $z \approx 1.5$ – 1.7 is due to *Redrock* identifying [O II] at ≈ 9300 – 10000 Å where the data can be particularly noisy (see the $VI = 1$ spectrum in Figure 1 for a good example).

The results presented so far are based solely on the VI. However, since the vast majority of the optical spectra in the DESI survey will not be visually inspected, it is instructive to compare the VI results to the output from the spectroscopic pipelines. In Table 6 the pipeline results for both the SV1 and main target selections are shown, split as a function of the optical spectral class and subdivided between the standard and modified pipelines. Here we focus on the results from the standard *Redrock* pipeline and defer comparisons with the modified pipeline to Section 3.3. The standard *Redrock* pipeline reliably identifies QSOs, with $\approx 97\%$ (SV1) and $\approx 99.5\%$ (main) visually confirmed as high-quality QSOs. A slightly lower $\approx 88\%$ of *Redrock*-identified stars are visually confirmed as high-quality stars, independent of target-selection approach, while by comparison $< 69\%$ of the *Redrock*-identified galaxies are found to be high-quality identifications; indeed, the vast majority of the low-quality identifications

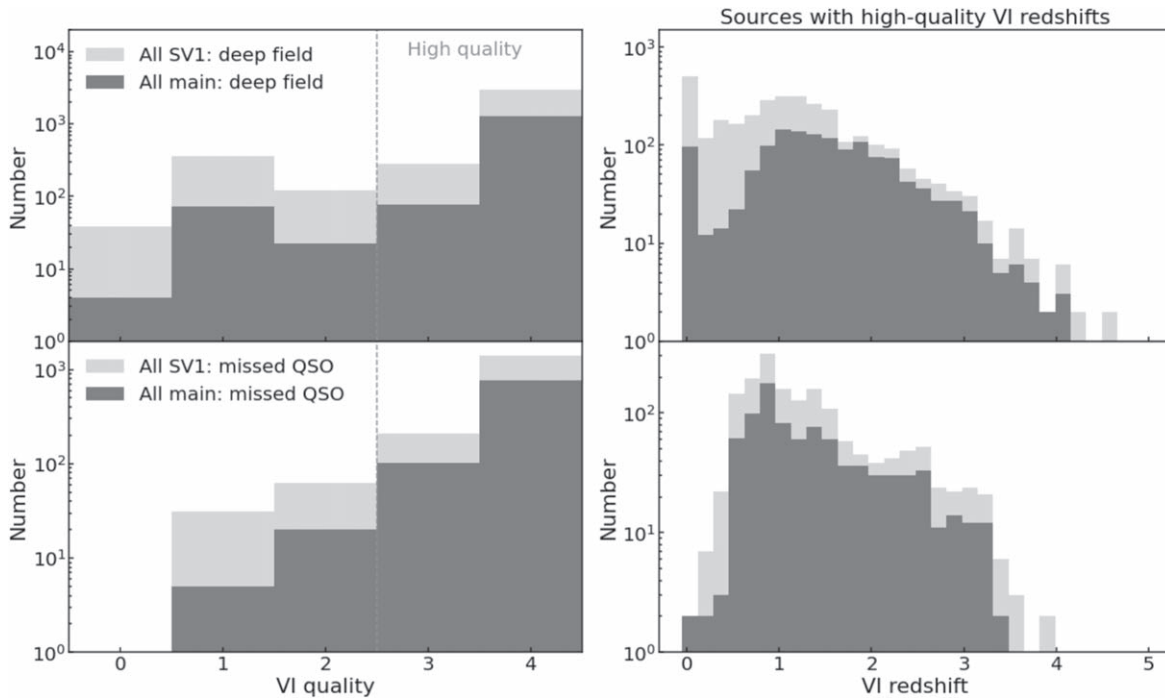


Figure 3. Distribution of (left) VI quality flags and (right) high-quality VI redshifts for the deep-field VI (top) and missed QSOs in the sparse VI (bottom). Targets meeting the SV1 and main selection are indicated in pale and dark gray, respectively. The dashed line indicates the threshold required for a high-quality redshift ($VI \geq 2.5$).

reported in Table 5 are classified by *Redrock* as galaxies. However, despite the high reliability of *Redrock*-identified QSOs, a nonnegligible fraction of high-quality QSOs identified in the VI are missed by the standard *Redrock* pipeline ($\approx 14\%$ for SV1 and $\approx 11\%$ for main). As shown in the following subsection, the majority of the high-quality QSOs missed by *Redrock* can be recovered with the afterburners.

3.2. Sparse VI: Missed QSOs

In Figure 3 (bottom panels) we show the distribution of VI quality classes and high-quality VI redshifts for the missed QSO selection from the sparse VI for both the SV1 and main target selections. The missed QSO VI results are also presented in Table 5. As for the deep-field VI, the majority of the optical spectra are high quality: $\approx 95\%$ (SV1) and $\approx 97\%$ (main) have $VI \geq 2.5$. The even larger fraction of high-quality spectra, as compared to the deep-field VI, is likely due to the additional requirement that the targets exceed the confidence threshold required by at least one of the afterburners. Individually, each of the afterburner approaches selects $>90\%$ high-quality QSOs, which rises to $>96\%$ when focusing on the main target selection, demonstrating the high reliability of the afterburners in identifying high-quality QSOs; see Table 7.

In Figure 5 (right) we show the r -band fiber magnitude versus redshift for the SV1 target selection for the missed QSOs, with targets plotted on the basis of optical spectral class. A significant difference is seen between the QSOs in the sparse VI and the deep-field VI (Figure 5, left) with many of the former QSOs populating a clearly defined curved band across the r -band–redshift plane at $z \approx 0.4$ – 1.7 , leading to a narrower overall redshift distribution (see Figure 3). The primary origin of this r -band–redshift curved band is the identification of broad Mg II emission at the redshift of a *Redrock*-identified galaxy. This is illustrated in Figure 6: the Mg II afterburner

targets are tightly distributed over $z = 0.4$ – 1.7 . For these missed QSOs, *Redrock* has measured the correct redshift but classified the target as a galaxy rather than a QSO.

However, the QuasarNet and SQUEzE afterburners have also identified a population of missed QSOs across a wider redshift range. For these systems, *Redrock* failed to identify the QSO and also measured the wrong redshift. To illustrate these points, we plot in Figure 7 (top) the redshift from the standard *Redrock* pipeline versus the VI redshift: good agreement is found for many systems at $z < 1.7$, but a significant fraction of targets clearly have catastrophic redshift failures.⁵³ However, as shown in Figure 7 (bottom), better redshift agreement is achieved when either the QuasarNet or SQUEzE afterburner redshift is adopted in preference to *Redrock*, providing the foundations for the construction of the modified pipeline for the QSO survey (Chaussidon et al. 2022), as described next.

3.3. Testing the Effectiveness of the Modified Pipeline with the Visual-inspection data

On the basis of the VI results presented in Section 3.1, the standard *Redrock* pipeline reliably distinguishes QSOs from stars and galaxies with just a small fraction of *Redrock*-identified QSOs with low-quality spectra ($\approx 4\%$ for SV1 and $\approx 1\%$ for main). A nonnegligible fraction of high-quality QSOs

⁵³ The catastrophic redshift errors are primarily due to the limited spectral template range available for QSOs in the standard *Redrock* pipeline, limiting the ability for *Redrock* to reliably capture the spectral diversity across the QSO population. Indeed, as shown in Section 5.1, the missed QSOs with redshift failures have redder optical spectra (due to larger host-galaxy contributions and dust reddening) than the standard *Redrock* QSOs. The limited spectral template range for the QSOs is driven by the need for *Redrock* to fit spectra across all of the galaxy surveys in addition to the QSO survey. Expanding the range of QSO templates in the standard *Redrock* pipeline leads to degeneracies between the identification of red galaxies (such as those identified in the LRG survey) and red QSOs, which are the minority population, which would significantly compromise the overall performance of DESI.

Table 5
Results from the Deep-field VI and Missed QSO Sparse VI

VI Data Set	Selection	N (all)	High Quality (all)	High Quality (QSO)	High Quality (GALAXY)	High Quality (STAR)	Low Quality
Deep field	SV1	3779	3266 (86.4%)	1283 (34.0%)	1516 (40.1%)	467 (12.4%)	513 (13.6%)
	main	1455	1357 (93.3%)	1032 (70.9%)	234 (16.1%)	91 (6.3%)	98 (6.7%)
Missed QSO	SV1	1717	1624 (94.6%)	1489 (86.7%)	133 (7.7%)	2 (1.2%)	93 (5.4%)
	main	899	874 (97.2%)	850 (94.5%)	22 (2.4%)	2 (2.2%)	25 (2.8%)

Note. The VI results are presented as a function of the identified optical spectral class (QSO; GALAXY; STAR), split into high-quality ($VI \geq 2.5$) and low-quality ($VI < 2.5$) spectra. The results are calculated for targets from the deep field or missed QSO VI data sets meeting either the SV1 (top row) or main (bottom row) target selections. The corresponding percentages are calculated from all visually inspected targets meeting a given target-selection approach.

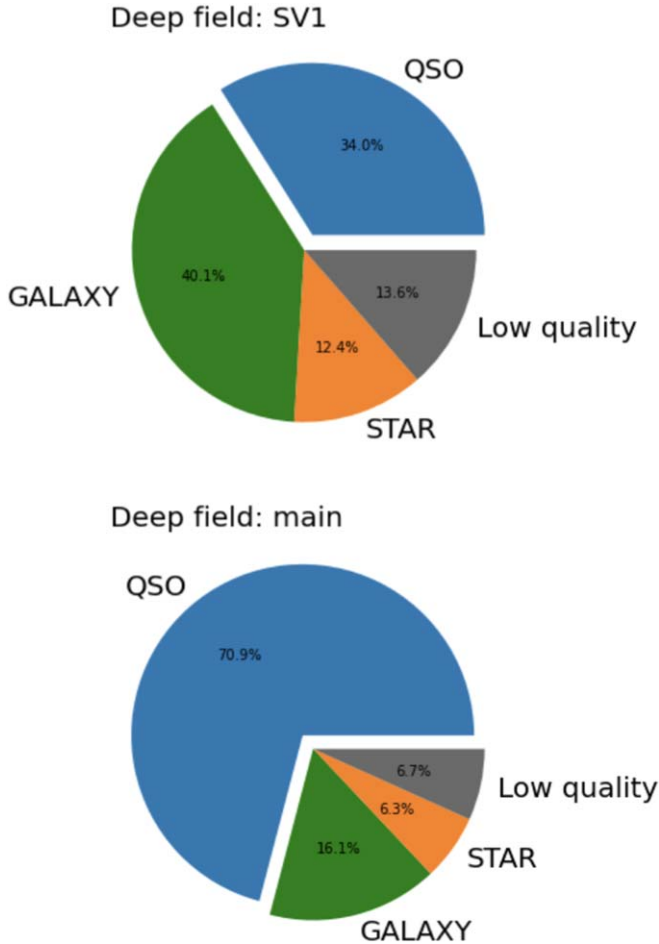


Figure 4. Pie chart showing the distribution of high-quality optical spectral classifications and low-quality spectra from the deep-field VI for targets meeting the SV1 (top) and main (bottom) target selections.

($\approx 14\%$ for SV1 and $\approx 11\%$ for main) is missed by the standard *Redrock* pipeline but, as demonstrated in Section 3.2, we can reliably recover the majority using the afterburners. Consequently, a combination of the QSO identification approaches from both *Redrock* and the afterburners should lead to a larger selection of high-quality QSOs. This is the key motivation for the development of a modified pipeline to optimize the selection of QSOs (Chaussidon et al. 2022), commonly referred to as “QSO maker.” In the following analyses we use the VI results to quantify the performance of the modified pipeline and compare these results to the standard *Redrock* pipeline.

Below we provide a summary of the key steps in the modified pipeline and refer the reader to the flowchart in Figure 9 of Chaussidon et al. (2022) for an illustration. Essentially, targets are classified as QSOs when identified by either the standard *Redrock* pipeline, the Mg II afterburner, or QuasarNet, adopting the same afterburner confidence thresholds used for the sparse VI missed QSO selection (see Section 2.3.2). *Redrock* is then used to recalculate the spectroscopic redshift when either (1) QuasarNet identifies a QSO unidentified by the Mg II afterburner or (2) when QuasarNet identifies the target as a QSO and the *Redrock* redshift disagrees with the QuasarNet redshift (i.e., $dz > 0.05$; see Equation (1) for the basic formalism of dz). To improve the final redshift measurement, the QuasarNet redshift is used as an input prior to *Redrock* with a tight top-hat redshift distribution of $dz = 0.05$, and only QSO template solutions are adopted in the redshift fitting.

To quantify the effectiveness of the modified pipeline for the identification of QSOs, we compare to the standard *Redrock* pipeline and the VI in the deep fields; see Table 6. The modified pipeline identifies a significantly larger number of QSOs for both the main and SV1 selections, the vast majority of which are high quality ($\approx 93\%$ for SV1 and $\approx 98\%$ for main). Furthermore, almost all of the QSOs missed by the standard *Redrock* pipeline are reliably recovered by the modified pipeline (just 1%–2% of the high-quality QSOs are missed), providing a $>10\%$ increase in the number of high-quality QSOs. The overall number of QSOs with low-quality spectra is increased by factor ≈ 3 compared to the standard *Redrock* pipeline, but they only account for $\approx 2\%$ of the modified-pipeline QSOs for the main target selection ($\approx 7\%$ for SV1).

The modified pipeline also provides significant improvements in the redshift measurements over the standard *Redrock* pipeline for the challenging-to-identify missed QSOs. This point is most clearly illustrated by focusing on the redshifts of the missed QSOs in the sparse VI: see Figure 7 (top) where we compare the modified and standard-pipeline redshifts of the high-quality missed QSOs to the VI redshifts. Unlike the standard *Redrock* pipeline, only a modest fraction of missed QSOs identified by the modified pipeline have catastrophic redshift failures, and QSOs are reliably identified out to $z \approx 4$.

4. Quantifying the Performance of the Main DESI Survey with the Visual Inspection Data

In this section, we exploit the VI data to quantify the expected performance of the main 5 yr DESI survey using ≈ 1000 s spectra. Our tests of the pipelines and comparisons of the SV1 versus main target selections in Section 3 were based on the deep SV spectra with exposure times up to an order of

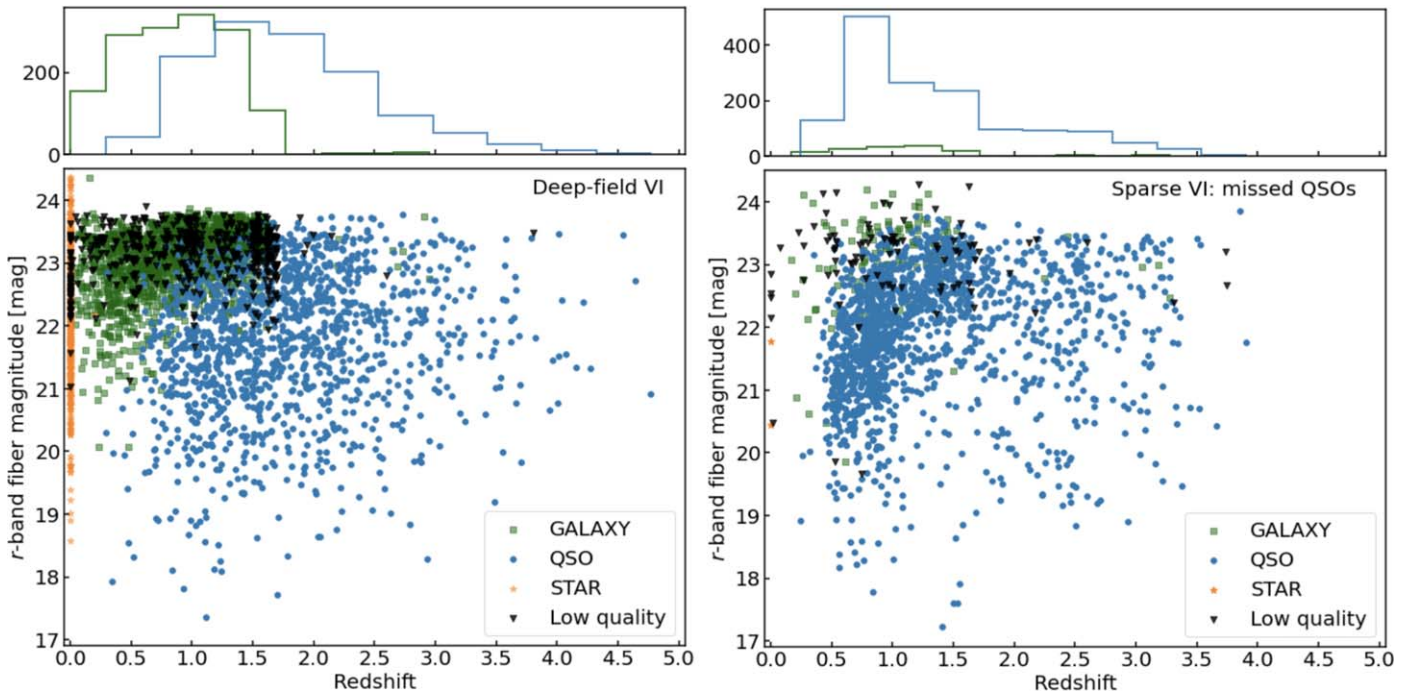


Figure 5. r -band fiber magnitude vs. redshift for (left) the deep-field VI (see Section 2.3.1) and (right) the missed QSOs from the sparse VI (see Section 2.3.2); the redshift is taken from the VI for the high-quality spectra and from *Redrock* for the low-quality spectra. The target classifications are based on the visually inspected optical spectral type for the high-quality spectra ($VI \geq 2.5$): QSO (blue circle), galaxy (green square), and star (orange star). The low-quality ($VI < 2.5$) identifications are plotted as black triangles. The top panels show the redshift distributions for the high-quality QSOs (blue) and the galaxies (green).

Table 6
Pipeline-identification Results in the Deep Fields

Selection	Spectral class	Standard Pipeline		Modified Pipeline			
		N	High Quality (Correct Identification)	High Quality (Missed)	N	High Quality (Correct Identification)	High Quality (Missed)
SV1	QSO	1135	1102 (97.1%)	181 (14.1%)	1345	1262 (93.3%)	21 (1.6%)
	GALAXY	2175	1503 (69.1%)	13 (0.9%)	1971	1467 (74.4%)	49 (3.2%)
	STAR	469	414 (88.3%)	53 (11.3%)	463	414 (89.4%)	53 (11.3%)
Main	QSO	928	923 (99.5%)	109 (10.6%)	1042	1025 (98.4%)	7 (0.7%)
	GALAXY	447	232 (51.9%)	2 (0.9%)	338	224 (66.3%)	10 (4.3%)
	STAR	80	70 (87.5%)	21 (23.1%)	75	70 (93.3%)	21 (23.1%)

Note. The pipeline-identification results in the deep fields as a function of the best-fitting optical spectral class for targets meeting either the SV1 (top) or main (bottom) target selection. The results are split between those obtained using the standard *Redrock* pipeline and the modified pipeline. The number of high-quality spectra ($VI \geq 2.5$) with the same optical spectral class as that found from the VI is provided; the corresponding percentage is calculated from all targets with the same spectral class. In addition, the number of high-quality targets identified from the VI but missed by the pipelines are listed; the corresponding percentage is calculated from the total number of visually inspected targets with the same optical spectral class as given in Table 5.

magnitude longer than the main-survey spectra. As a consequence, the results presented so far will be more optimistic than those achievable at the shorter main-survey exposures. However, since each SV spectrum was produced by coadding sets of shorter-exposure spectra, we can use these data to construct spectra with exposure times equivalent to those of the main survey. For our analyses here we constructed spectra with dark-time exposure times of 800–1200 s (≈ 1000 s) for the deep-field targets meeting the main-target-selection criteria.

Our basic approach and analyses follow those adopted for the galaxy surveys (Lan et al. 2023) with the key difference that we only focus on the spectroscopically identified QSOs rather

than using all targets. We primarily take this approach due to the excellent reliability with which our spectroscopic pipelines identify high-quality QSOs; see Table 6. However, the lower redshifts of the galaxies, which overlap with the more reliable galaxy samples from the BGS, LRG, and ELG surveys, also makes them less valuable cosmological tracers than the QSOs. For our analyses, we define two QSO subsamples, in addition to the full QSO sample: “tracer QSOs” at $z = 0.9$ – 2.1 and “Ly α QSOs” at $z > 2.1$.

The majority of our analyses are focused on characterizing the reliability of the spectroscopic redshifts for QSOs identified from both the standard or modified pipelines: in Section 4.2 we measure the good-redshift purity, in Section 4.3 we calculate

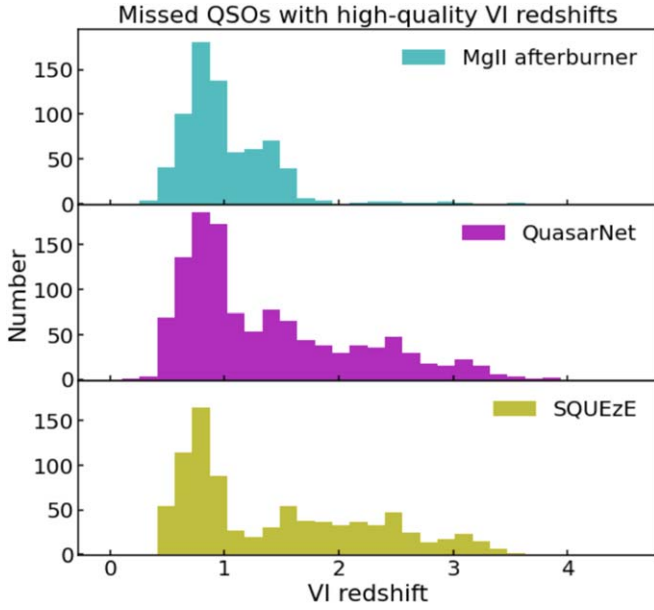


Figure 6. Redshift distributions for high-quality QSOs from the missed QSOs in the sparse VI for (top) Mg II afterburner selected systems, (middle) QN selected systems, and (bottom) SQ selected systems.

Table 7
Missed QSO Sparse VI Results for the Afterburners

Afterburner	Selection	N (all)	High quality N (QSO)
QuasarNet	SV1	1307	1182 (90.4%)
	Main	744	718 (96.5%)
SQUEzE	SV1	962	881 (91.6%)
	Main	584	569 (97.4%)
Mg II	SV1	765	709 (92.7%)
	Main	429	413 (96.3%)

Note. The total number of targets for each afterburner approach and the number (and associated overall percentage) with high-quality QSO identifications ($VI \geq 2.5$) are given for targets meeting either the SV1 (top) or main (bottom) target selection.

the redshift precision, and in Section 4.4 we measure the overall redshift accuracy. However, in Section 4.1 we first calculate the overall recovery rate of QSOs to provide a benchmark against the QSO recovery rate using the full-depth spectra. We compare our results against the DESI scientific requirements (Abareshi et al. 2022; DESI Collaboration et al. 2022, in preparation).

4.1. QSO Recovery Rate

The QSO recovery rate effectively quantifies the “completeness” of the QSO identification against the visually inspected QSO sample. We calculate the QSO recovery rate as

$$\text{QSO recovery rate} = \frac{N_{\text{RR:VI,QSO}}(VI \geq 2.5)}{N_{\text{VI,QSO}}(VI \geq 2.5)}, \quad (3)$$

where RR:VI refers to *Redrock*-identified QSOs that are spectrally confirmed as QSOs from the VI. The results are

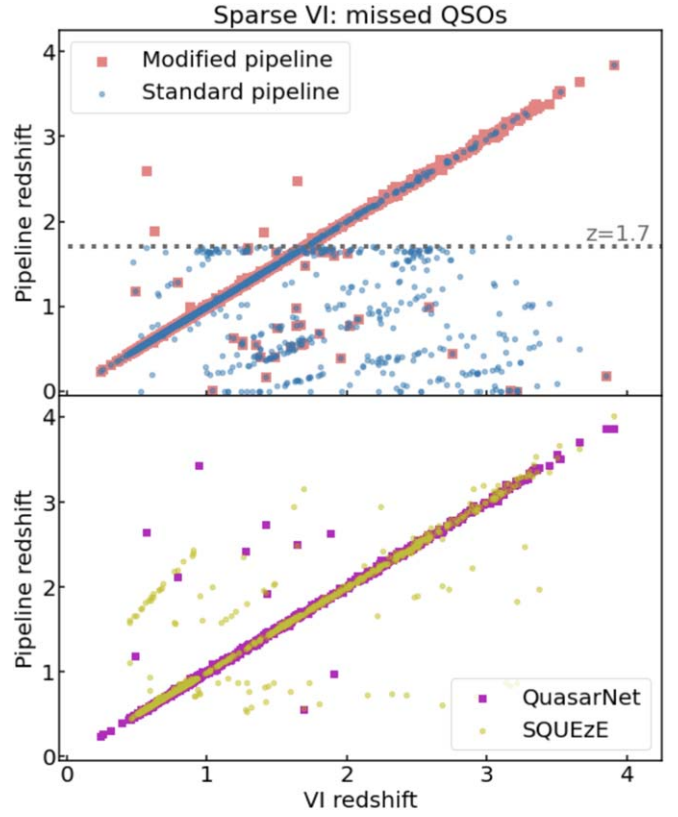


Figure 7. Pipeline redshift vs. VI redshift for the high-quality missed QSOs from the sparse VI. The plotted pipeline redshifts from (top) the standard *Redrock* pipeline (blue) and modified pipeline (red) and (bottom) the QuasarNet (magenta) and SQUEzE (yellow) afterburners. We note that all missed QSOs are plotted for the standard and modified pipelines while, for QuasarNet and SQUEzE, only the missed QSOs identified by that afterburner are plotted.

presented in Table 8. The modified pipeline recovers $\approx 94\%$ of the visually inspected QSOs, a significant improvement over the standard *Redrock* pipeline ($\approx 86\%$ recovered). As expected, these results are worse than those achieved using the coadded spectra (modified pipeline: $\approx 99\%$ recovered; standard pipeline: $\approx 89\%$ recovered); see Table 6.

4.2. Good-redshift Purity

A key DESI metric is the fraction of QSO spectra with high-quality ($VI \geq 2.5$) redshifts within a given dz threshold (dz_{thresh}). We refer to this quantity as the “good redshift purity,” which we define as

$$\text{Good redshift purity} = \frac{N_{\text{RR,QSO}}(VI \geq 2.5 \ \& \ dz_{\text{VI,RR}} \leq dz_{\text{thresh}})}{N_{\text{RR,QSO}}} \quad (4)$$

where $dz_{\text{VI,RR}}$ is the relative VI-RR redshift offset calculated following Equation (1) and replacing z_A and z_B with z_{VI} and z_{RR} , respectively. In our companion galaxy VI paper, a threshold of $dz_{\text{thresh}} = 0.0033$ (equivalent to $d\nu = 1000 \text{ km s}^{-1}$) was used (Lan et al. 2023). However, given the greater uncertainty in measuring redshifts from broad QSO emission lines, we also consider the more liberal threshold of $dz_{\text{thresh}} = 0.010$ (equivalent to $d\nu = 3000 \text{ km s}^{-1}$). Our good-redshift purity results are shown in Table 9.

Table 8
Expected Recovery Rates of High-quality QSOs for the Main DESI Survey

Selection	Standard Pipeline		Modified Pipeline	
	VI QSOs Recovered	VI QSOs Missed	VI QSOs Recovered	VI QSOs Missed
All QSOs	85.5% ± 0.5%	14.5% ± 0.5%	93.6% ± 0.4%	6.4% ± 0.4%

Note. The percentage of high-quality (VI >2.5) QSOs recovered as QSOs (or alternatively not recovered; i.e., missed) by either the standard *Redrock* or modified pipeline for the main target selection, calculated following Equation (3). The listed error corresponds to a 1σ uncertainty for a binomial distribution.

The DESI scientific requirements for this analysis are framed as the “catastrophic redshift failure,” which is related to Equation (4) as:

$$\begin{aligned} &\text{Catastrophic redshift failure} \\ &= 1 - \text{Good redshift purity.} \end{aligned} \quad (5)$$

The required catastrophic redshift failure rate for the tracer QSOs is <5% (good redshift purity of >95%) for $dz_thresh = 0.0033$, which is achieved for both pipelines; see Table 9. The required catastrophic redshift failure rate for the Ly α QSOs is <2% (good redshift purity of >98%), which is also achieved for both pipelines with $dz_thresh = 0.010$.

These analyses have quantified the overall good-redshift purity but we would also expect these results to vary with data quality. In our companion galaxy paper (Lan et al. 2023), the good-redshift purity was calculated as a function of $\Delta\chi^2$, which is defined as the difference in χ^2 between the best-fitting and second-best-fitting *Redrock* template–redshift solution for each target: high $\Delta\chi^2$ values are more likely to correspond to higher-quality spectra. We do not consider $\Delta\chi^2$ in our analyses here partly because *Redrock* identifies high-quality QSOs down to the $\Delta\chi^2 = 0$ limit with just a small fraction of low-quality spectra. However, adopting this approach would also limit our analyses to just the standard *Redrock* pipeline since the modified pipeline takes a more complex approach in the calculation of redshifts, adopting a tight redshift prior using the QN redshift but only for a fraction of the QSOs. Instead, to provide insight on the dependence of the spectroscopic redshift accuracy with data quality, we use the r -band magnitude as a “proxy” of the data quality.

In Figure 8 we plot the good-redshift purity as a function of r -band magnitude for both the tracer and Ly α QSOs to show how the results depend on both data quality and dz_thresh . The required good-redshift purity of >95% is achieved for the tracer QSOs over the full r -band magnitude range for both dz thresholds with both pipelines.⁵⁴ A broadly similar trend is also seen for the Ly α QSOs, although the good-redshift purity varies more greatly with dz than for the tracer QSOs, from <90% for $dz_thresh = 0.0033$ to >96% for $dz_thresh = 0.010$ regardless of the pipeline. The good-redshift purity of the tracer QSOs decreases with r -band magnitude, as expected if data quality drives the overall redshift uncertainties. A similar behavior is also seen for the Ly α QSOs except for $dz_thresh = 0.0033$ where the good-redshift purity is comparatively flat at $\approx 85\%$ – 90% over almost the full plotted r -band magnitude range. This shows that *Redrock* is unable to reliably measure redshifts within $dz < 0.0033$ for $\approx 10\%$ – 15% of the Ly α QSOs, unrelated to data quality, which is likely due to complexity in the rest-frame UV spectra for a subset of the

QSOs (e.g., complex and poorly defined emission peaks due to broad emission and absorption features).

4.3. Redshift Precision

The redshift precision quantifies the random error in the QSO redshift measurements. The DESI scientific requirement for this parameter is for the random error between individual QSO redshifts to be $\Delta z < 0.0025(1+z)$, equivalent to velocity offsets of $\Delta v < 750 \text{ km s}^{-1}$, within a Gaussian core (i.e., 1σ). In this calculation, we exploit the multiple short-exposure redshift measurements available for each target to calculate the redshift offset (expressed as the velocity offset, dv , in kilometers per second) between each redshift pair (i, j)

$$dv_{i,j} = \frac{z_{RR,i} - z_{RR,j}}{(1 + z_{RR,i})} \times c. \quad (6)$$

The random error is then estimated as the dispersion from the distribution of redshift-pair offsets. Following Lan et al. (2023), we calculate the median absolute deviation (MAD), rather than the standard deviation, to minimize the impact of outlier measurements. We then scale the MAD value by 1.4828 to represent the 1σ error and divide by $\sqrt{2}$ to take account of the fact that the dispersion includes measurement errors from two redshifts:

$$\text{Scaled MAD value} = \frac{\text{MAD} \times 1.4828}{\sqrt{2}}. \quad (7)$$

The results are shown in Table 9, and the variation in the scaled MAD value with r -band magnitude is presented in Figure 9. Overall, the DESI scientific requirements are met for all QSO subsets with scaled MAD values of $\Delta v < 300 \text{ km s}^{-1}$ for even the faintest QSOs; however, clear trends with r -band magnitude are seen for both the tracer and Ly α QSOs, demonstrating the general impact of data quality on the precision of individual redshift measurements. No significant differences are seen between the two pipelines.

4.4. Redshift Accuracy

The redshift accuracy quantifies the systematic accuracy of the QSO redshifts. The DESI scientific requirement is for a redshift accuracy of $\Delta z < 0.0004(1+z)$, equivalent to velocity offsets of $\Delta v < 120 \text{ km s}^{-1}$, in the redshifts of the tracer QSOs. To provide an assessment of the redshift accuracy, we matched the DESI QSOs to the SDSS DR16 quasar catalog (Lyke et al. 2020) using a $5''$ search radius and calculated the median redshift offset. Overall, we found matches to 292 SDSS quasars of which 176 are tracer QSOs (a further 84 are Ly α QSOs). In

⁵⁴ We note that the “choppy” behavior of the tracks is due to the small number of high-quality visually inspected QSOs, particularly at bright magnitudes.

Table 9
Key Metrics for the Main DESI Survey

QSO Selection	Standard Pipeline				Modified Pipeline			
	Good Redshift Purity		Redshift Precision	Redshift Accuracy	Good Redshift Purity		Redshift Precision	Redshift Accuracy
	($dz = 0.0033$)	($dz = 0.010$)			($dz = 0.0033$)	($dz = 0.010$)		
All QSOs	94.0% \pm 0.4%	98.6% \pm 0.2%	99 \pm 2 km s ⁻¹	70 \pm 20 km s ⁻¹	93.3% \pm 0.4%	99.0% \pm 0.1%	94 \pm 2 km s ⁻¹	64 \pm 21 km s ⁻¹
$z < 2.1$ QSOs	95.4% \pm 0.4%	98.6% \pm 0.2%	109 \pm 3 km s ⁻¹	22 \pm 17 km s ⁻¹	95.5% \pm 0.4%	99.3% \pm 0.1%	98 \pm 2 km s ⁻¹	24 \pm 18 km s ⁻¹
Tracer QSOs	96.0% \pm 0.4%	99.4% \pm 0.1%	124 \pm 3 km s ⁻¹	24 \pm 19 km s ⁻¹	95.2% \pm 0.4%	99.5% \pm 0.1%	121 \pm 3 km s ⁻¹	28 \pm 20 km s ⁻¹
Ly α QSOs	90.5% \pm 0.8%	98.7% \pm 0.3%	69 \pm 4 km s ⁻¹	344 \pm 47 km s ⁻¹	87.8% \pm 0.9%	98.2% \pm 0.4%	78 \pm 5 km s ⁻¹	342 \pm 53 km s ⁻¹

Note. Key metrics calculated for the main DESI survey using the standard *Redrock* pipeline and modified pipeline for all QSOs, $z < 2.1$ QSOs, tracer QSOs ($z = 0.9\text{--}2.1$), and Ly α QSOs ($z > 2.1$). The good redshift purity is calculated following Equation (4) for two different dz thresholds; the listed error corresponds to a 1σ uncertainty for a binomial distribution. The redshift precision is calculated following Equation (6) and quantifies the 1σ variation in velocity dispersion between redshift pairs; the listed error is calculated from bootstrapping the sample 500 times. The redshift accuracy is defined as the median DESI–SDSS redshift offset for high-quality DESI QSOs within the SDSS DR16 QSO catalog; the listed uncertainty is the standard error of the median.

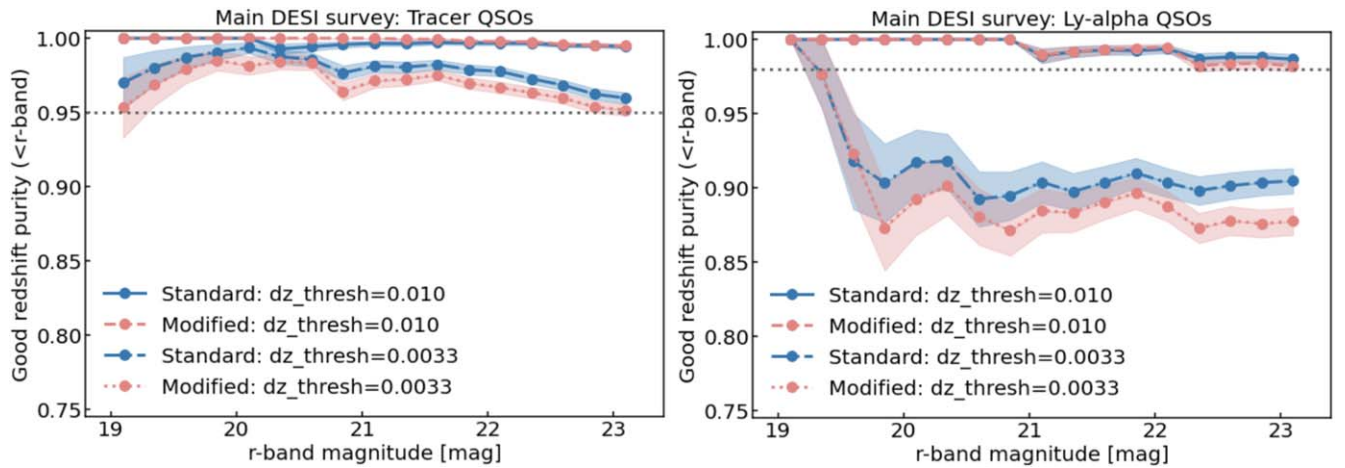


Figure 8. Good-redshift purity vs. r -band magnitude for main-selection targets identified as QSOs by either the standard *Redrock* pipeline (blue) or the modified pipeline (red) for two dz thresholds in dark-time exposures of ≈ 1000 s for (left) tracer QSOs and (right) Ly α QSOs. The cumulative fractions are plotted for $dz_{\text{thresh}} = 0.0033$ and $dz_{\text{thresh}} = 0.010$; the shaded regions indicate the 1σ uncertainty for a binomial distribution. The dotted horizontal line indicates the DESI scientific requirement.

our analyses we used the ≈ 1000 s DESI spectra for these matched quasars, which increases the source statistics by a factor ≈ 5 .

The overall measured median velocity offsets for all-matched QSOs are ≈ 70 km s $^{-1}$ for both pipelines; see Table 9 and Figure 10. The median velocity offsets for the tracer QSOs are even smaller at ≈ 30 km s $^{-1}$, meeting the DESI scientific requirements for both pipelines and consistent with the standard error of the median. As shown in Table 9, the larger velocity offset measurements for the all-matched QSO sample is due to the Ly α QSOs, which have median velocity offsets of ≈ 340 km s $^{-1}$. This same effect is remarked upon in the DESI QSO target-selection paper (see Section 7.3 of Chaussidon et al. 2022), and the cause is investigated extensively in the SDSS eBOSS BAO paper (see Appendix B of du Mas des Bourboux et al. 2020). Two factors likely drive the systematic redshift inaccuracy of the Ly α QSOs: (1) Ly α absorption features entering the DESI bandpass and distorting the profile of the Ly α emission line, and (2) Mg II emission leaving the DESI bandpass and, consequently, causing the pipeline redshift measurements to be more reliant on the less-reliable C IV emission line (e.g., see Tytler & Fan 1992; Hewett & Wild 2010). We note that since the SDSS DR16 quasar catalog is limited to $r < 22$, the redshift accuracy may be worse for the faintest DESI targets.

5. Spectral Diversity in the DESI Quasar Survey

As is clear from the VI results presented in Section 3, one of the challenges in the QSO survey is the identification of QSOs from stars and the, sometimes more dominant, galaxy populations. In this section we explore some of the spectral diversity in the DESI QSO survey. In Section 5.1 we investigate how the QSOs identified by *Redrock* differ from the missed QSOs identified by the afterburners to understand why some QSOs are misidentified by *Redrock*. In Section 5.2 we investigate the optical spectra in more detail to demonstrate the spectral diversity of the visually identified QSOs by selecting interesting example spectra. In Section 5.3 we focus on the high-quality galaxies and investigate how they differ from the high-quality QSOs and whether a significant number of QSOs remain unidentified. Finally, in Section 5.4 we briefly

investigate the incidence of two sources contributing to the same DESI spectrum and show several example spectra from the VI.

5.1. Investigating the Differences between the *Redrock* Quasars and the Missed Quasars

In Figure 11 (left) we plot the r -band magnitude versus redshift for the QSOs identified by *Redrock* in the deep-field VI and compare them to the afterburner-identified missed QSOs from the sparse VI. The missed QSOs have a more strongly peaked redshift distribution than the *Redrock* QSOs due to the enhanced “clump” of systems in the r -band–redshift plane, also seen in Figure 5 (right). The missed QSOs also fill out a fainter region in the r -band magnitude–redshift plane in Figure 11 (left) than the *Redrock* QSOs: at any given redshift out to $z \approx 1.7$, the missed QSOs are identified to fainter r -band magnitudes than the *Redrock*-identified QSOs. Consequently, the inclusion of the afterburners leads to a much more comprehensive QSO census, particularly at $z < 1.7$ due to the identification of optically fainter systems.

In Figure 11 (left), we also plot the magnitude–redshift tracks for an $M_1 = -23$ mag QSO to provide a basic discrimination between luminous QSOs and lower-luminosity systems. As can be seen, many of the $z < 1.7$ missed QSOs lie above the $M_1 = -23$ mag QSO tracks indicating that they are probably relatively low-luminosity QSOs, more analogous to Seyfert galaxies identified in the local universe than classical QSOs. Indeed, for the majority of the $z < 1.7$ missed QSOs, *Redrock* identifies the correct redshift but classifies the target as a galaxy, consistent with that expected for a low-luminosity QSO. We visually demonstrate this in Figure 11 (right) where we again plot r -band magnitude versus redshift but now split the missed QSOs on the basis of dz : a clear division in the redshift distribution is seen with the missed QSOs at $z < 1$ having reliable redshifts ($dz < 0.01$) while the missed QSOs at $z > 1.7$ have predominantly large catastrophic redshift failures ($dz > 0.1$), with a mix of reliable redshifts and redshift failures over $z \approx 1.0$ – 1.7 . The missed QSOs with redshift failures have a broadly similar redshift distribution to the *Redrock* QSOs (see Figure 11) when the correct redshift is identified.

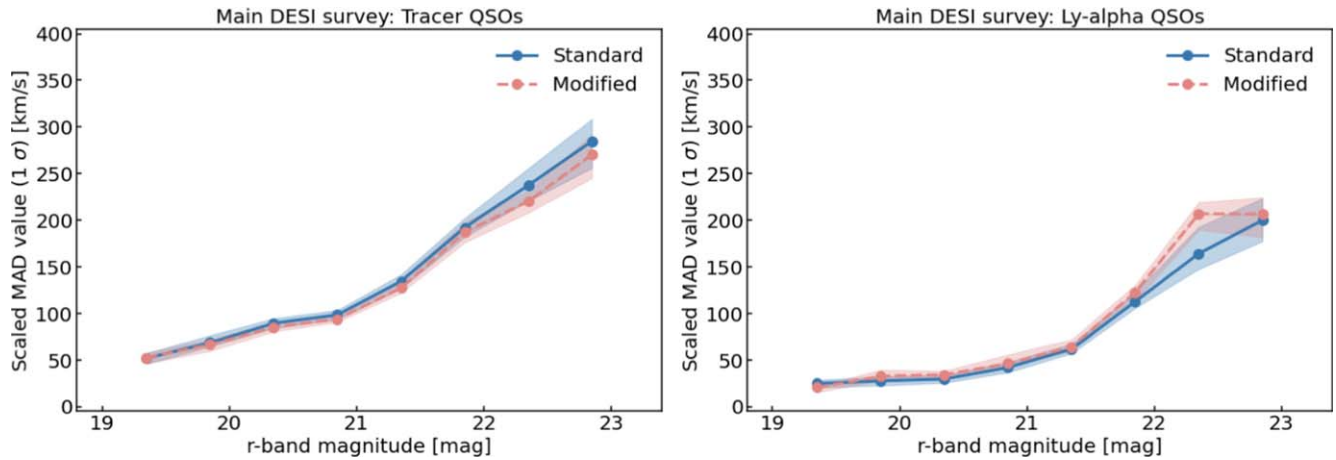


Figure 9. Median absolute deviation (MAD) of the velocity offsets (Δv) between redshift pairs vs. r -band magnitude for main-selection targets identified as QSOs by either the standard *Redrock* pipeline (blue) or the modified pipeline (red) in dark-time exposures of ≈ 1000 s for (left) tracer QSOs and (right) Ly α QSOs. The shaded regions indicate the 1σ uncertainty calculated via bootstrapping the sample 500 times.

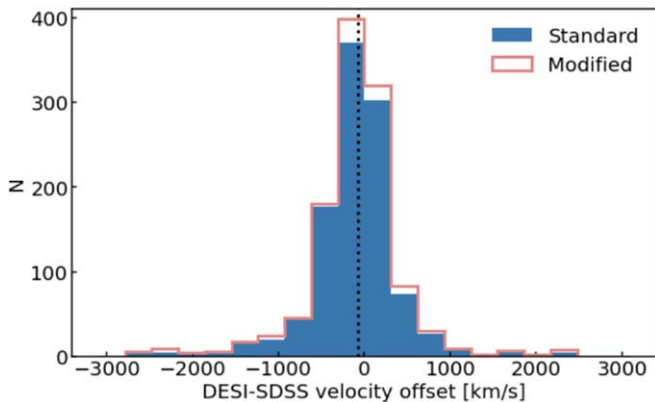


Figure 10. Assessment of redshift accuracy (expressed as the velocity offset, dv , in kilometers per second) for main-selection targets with dark-time exposures of ≈ 1000 s matched to the SDSS DR16 quasar catalog for the standard *Redrock* pipeline (blue) and the modified pipeline (red). The dotted vertical line indicates the median velocity offset.

To further shed light on the physical origins of the differences between the *Redrock* QSOs and the missed QSOs, we constructed median composite spectra for both samples by stacking the rest-frame optical spectra; see Figure 12.⁵⁵ The *Redrock* QSO composite has all of the features of a classical QSO: prominent broad emission lines and a strongly rising continuum to rest-frame UV wavelengths. However, by comparison, the missed QSO composite has a much flatter continuum slope with a deficit of emission at shorter wavelengths, enhanced emission at longer wavelengths, and stronger narrow forbidden lines (e.g., [Ne V] and [O II]). The frequency-dependent drop in the continuum emission to UV wavelengths for the missed QSOs is the characteristic signature of dust extinction along the line of sight. To provide insight on

the origin of the enhanced longer-wavelength emission, we produced a zoomed-in image of the spectral region around ≈ 3800 Å; see Figure 12 (inset). The missed QSOs have stronger Balmer and Ca H + K absorption lines, the expected signatures from a significant host-galaxy contribution, which also explains the enhanced continuum longward of 4000 Å (i.e., the emission from stars in the host galaxy).

Overall, the reason the missed QSOs were misidentified by the standard *Redrock* pipeline appears to be due to the significant contribution from the host galaxy and/or the suppression of the rest-frame UV emission from dust extinction. The requirement for *Redrock* to fit the DESI spectra across all of the galaxy surveys, in addition to the QSO survey, limits the range of QSO templates that can be effectively utilized, and, consequently, it is not possible to account for the full diversity of the QSO spectral class; see also Footnote 53. *Redrock* can therefore measure the correct redshift of a red QSO when prominent host-galaxy features are present in the optical spectrum, as is the case for low-luminosity QSOs up to $z \approx 1.7$ (i.e., distant analogs of Seyfert galaxies), where the majority of the strongest host-galaxy features lie within the DESI spectral bandpass. Therefore, it is unsurprising that the vast majority of the missed QSOs at $z < 1$, and a significant fraction of missed QSOs out to $z \approx 1.7$, have reliable redshifts even though the standard *Redrock* pipeline identifies them as galaxies instead of QSOs; the often noisy data at > 8500 Å (see Figure 1 for examples) is likely responsible for the decrease in the reliable redshift fraction for missed QSOs at $z \approx 1.0$ – 1.7 . At higher redshifts, the strongest host-galaxy features move out of the DESI spectral bandpass, and, without a red QSO template solution, *Redrock* cannot reliably measure the target redshift.

5.2. A Glimpse of the Spectral Diversity in the QSO Survey

The composite spectra shown in Figure 12 demonstrate the broad diversity between the QSOs identified by the standard *Redrock* pipeline and the missed QSOs recovered by the afterburners. However, they do not reveal the full range of spectral diversity within the QSO survey. To provide a glimpse of the overall spectral diversity of targets in the QSO survey, in Figure 13 we plot the DESI spectra for individual QSOs, selected to cover a broad range in spectral diversity.

⁵⁵ Each composite spectrum is constructed following the approach outlined in Fawcett et al. (2022). Briefly, the ends of each contributing spectrum are trimmed to remove noisy data and then corrected for Galactic extinction and shifted to rest-frame wavelengths using the VI redshift. Each spectrum is then adjusted to a common wavelength grid and normalized at rest-frame 3000 Å across a 20 Å window; the normalization wavelength was chosen to maximize the number of sources with observed-frame coverage corresponding to rest-frame 3000 Å. The composite is then created by taking the median across all spectra contributing to a given wavelength bin, applying a minimum threshold of 30 spectra/bin.

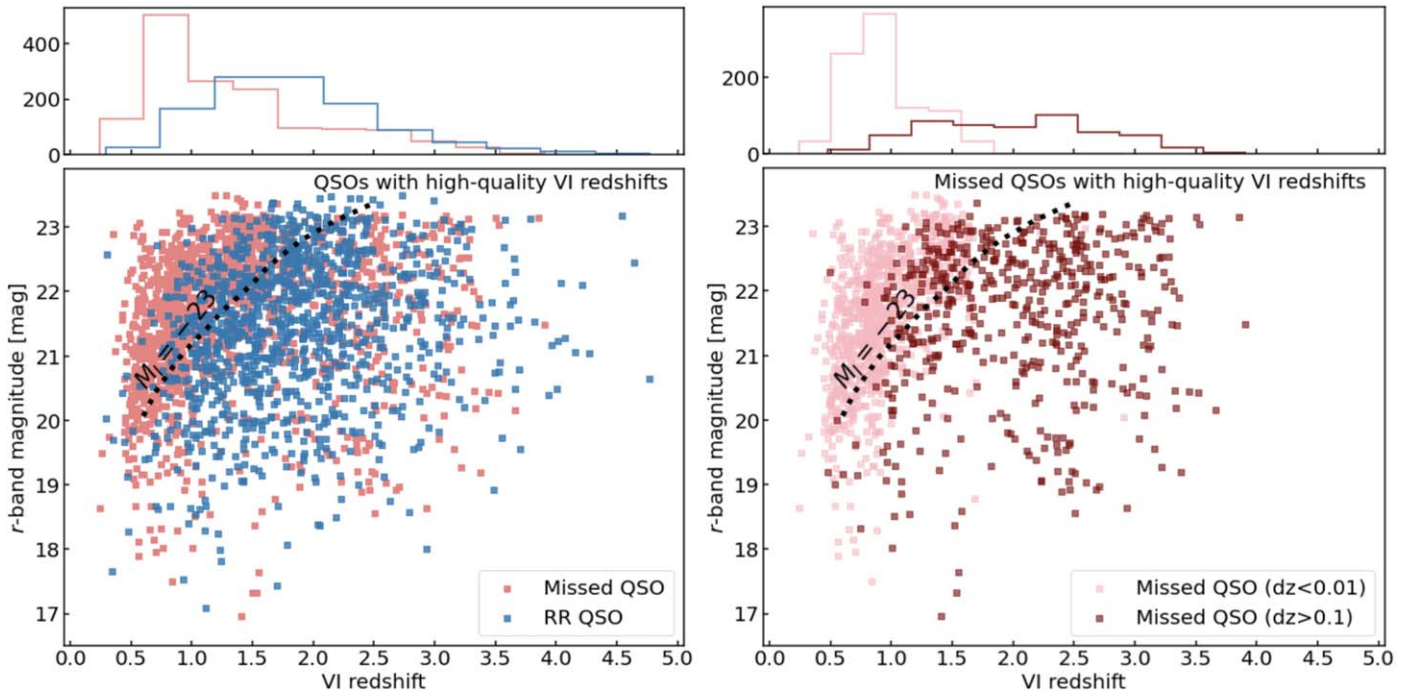


Figure 11. r -band magnitude vs. redshift for (left) high-quality *Redrock*-identified QSOs from the deep-field VI (blue squares) and the missed QSOs in the sparse-field VI (red squares) and (right) high-quality missed QSOs from the sparse VI, split between those with reliable redshifts ($d_z < 0.01$: pink squares) and those with large catastrophic redshift failures ($d_z > 0.1$: maroon squares); we note that missed QSOs with $d_z = 0.01$ – 0.1 are not plotted in the right-hand panel. The black dashed curve shows the expected track for an $M_I = -23$ mag QSO to provide a basic discrimination between classical QSOs and lower-luminosity Seyfert-type galaxies. The top panels show the redshift distributions for the (left) high-quality *Redrock* QSOs (blue) and missed QSOs (red) and (right) high-quality missed QSOs with reliable redshifts (pink) and redshift failures (maroon).

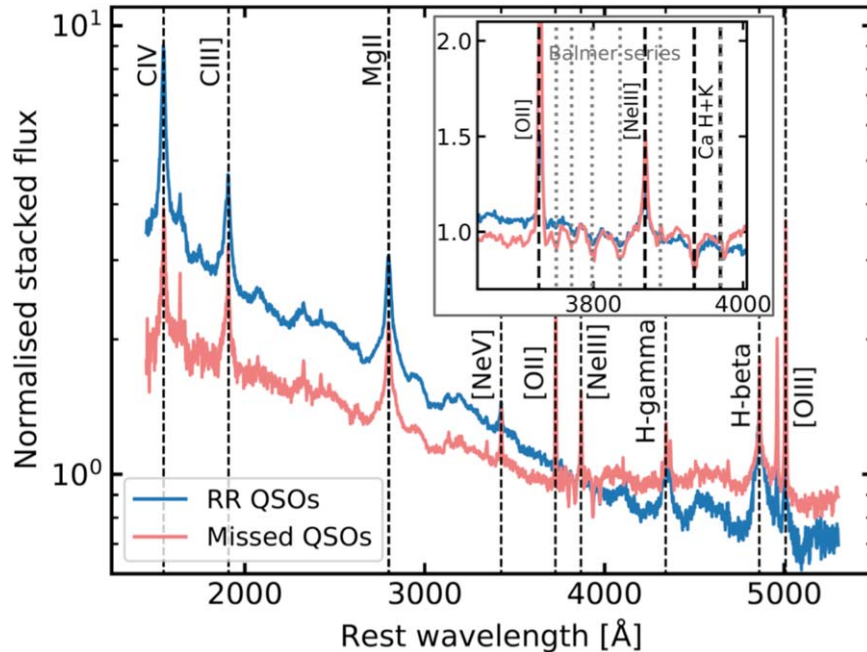


Figure 12. Median composites of the *Redrock*-identified QSOs from the deep-field VI (blue) and QSOs missed by *Redrock* but identified from the afterburner approaches in the sparse-field VI (red). The composite spectra are normalized over rest-frame 3800–3900 Å for visualization purposes. The inset plot is focused around 3700–4000 Å to highlight the prominent stellar absorption features in the missed QSO sample. The vertical lines indicate several key emission and absorption features.

The first spectrum is an example of an optically faint high-redshift QSO. Many high-redshift QSOs have been identified in previous QSO surveys; however, the fainter optical magnitude limit of DESI allows for the reliable identification of lower

luminosity, and therefore more typical, systems. The second spectrum is an example of a QSO with a prominent host galaxy, broadly similar to the missed QSO composite shown in Figure 12: the strong host-galaxy absorption features are

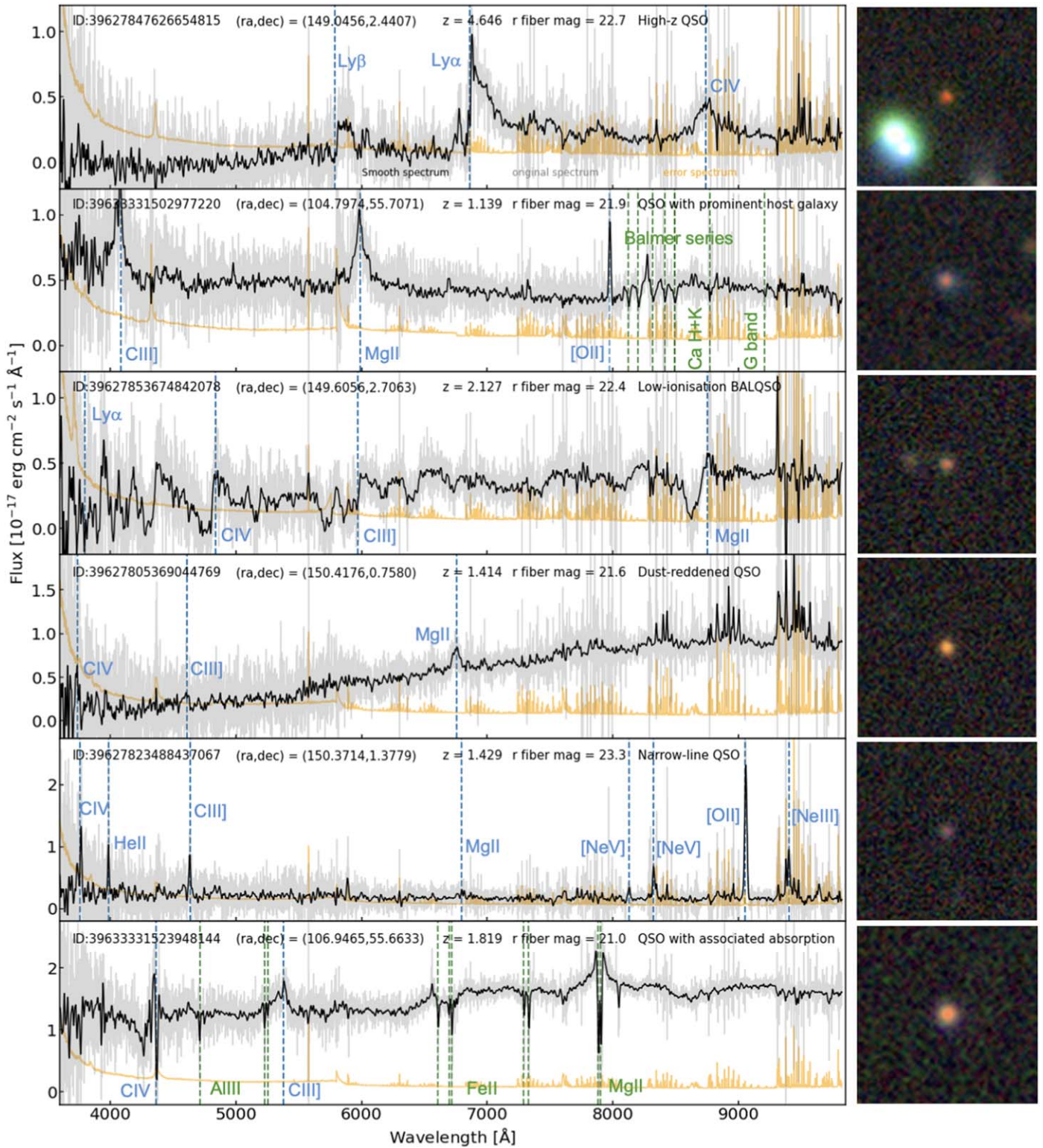


Figure 13. Compilation of DESI spectra to illustrate some of the diversity in systems identified in the QSO survey (left) and thumbnail images ($18'' \times 18''$) centered on each target (right). Salient information for each target is plotted at the top of each spectrum including a description of the QSO subtype. For each target, both an unsmoothed (gray) and smoothed (black) spectrum are plotted along with the associated error spectrum (orange). Some of the most prominent emission lines (blue vertical dashed lines) and absorption lines (green vertical dashed lines) are highlighted.

highlighted in green and are consistent with a post-starburst. The identification of the host galaxy is due to both the faint optical magnitude limit of DESI and the relatively high spectral resolution, which provide the potential to characterize the host-galaxy properties (e.g., luminosity-weighted stellar age and

host-galaxy mass) for a significant fraction of the DESI QSOs. The third spectrum is a spectacular example of an optically faint low-ionization BALQSO, where strong broad absorption troughs are seen blueward of both the low-ionization (Mg II) and high-ionization (C IV; C III]) broad emission lines. DESI is

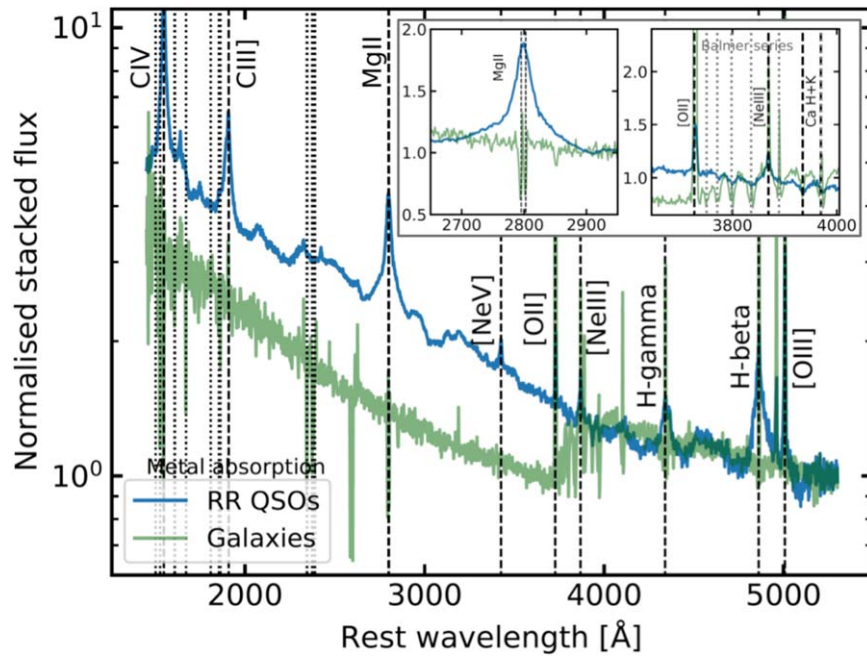


Figure 14. Median composites of high-quality *Redrock*-identified QSOs (blue) and visually confirmed galaxies (green) from the deep-field VI of the QSO survey. These composite spectra are normalized over rest-frame 5100–5200 Å for visualization purposes. The inset plot is focused around 2600–3000 Å and 3600–4000 Å to highlight the lack of AGN features (left) and the prominent stellar absorption features (right) in comparison to the QSO composite. The vertical lines indicate several key emission (dashed line) and absorption (dotted line) features.

able to identify BALQSOs down to fainter optical magnitudes than previous optical QSO surveys. On the basis of the VI, >10% of the high-quality deep-field QSOs showed visual evidence for broad absorption-line features at $z > 1.57$. The fourth spectrum is an example of an individual dust-reddened QSO missed by the standard *Redrock* pipeline but identified by the afterburners. The faint optical magnitude of DESI combined with the optical–mid-IR color selection allows for the identification of redder and fainter QSOs than those identified in the SDSS. The fifth spectrum shows a QSO where only narrow lines are detected: the identification of the strong high-excitation [Ne V] emission line provides evidence that this is a QSO as opposed to a galaxy. Due to the requirement for a point-source optical morphology, the QSO survey will only identify a subset of the narrow-line QSO population since the majority are expected to have extended optical morphologies (i.e., due to optical emission being dominated by the host galaxy). The last spectrum shows a QSO with strong associated absorption features: in this example, a significant fraction of the absorption appears to be due to the host-galaxy environment since absorption features are identified at the systemic redshift of the QSO. The relatively high spectral resolution of DESI allows for the comprehensive identification of narrow absorption features. A comprehensive evaluation of Mg II absorption systems identified in the QSO survey will be provided in L. Napolitano et al. (2023, in preparation).

5.3. Investigating the Nature of the Galaxies Detected in the QSO Survey

The combination of the *Redrock*- and afterburner-identified QSOs provides a comprehensive selection of QSOs. However, what is the nature of the galaxies detected in the QSO survey, and could they host weak QSO or AGN activity? Following the same approach as for the QSO composites (see Footnote 55),

we stacked the rest-frame spectra of the high-quality galaxies identified in the deep-field VI of the QSO survey to construct a median galaxy composite; see Figure 14. The galaxy composite shows a strong rise to UV wavelengths, similar to the *Redrock* QSO composite, but lacks the associated QSO signature of broad emission lines. Furthermore, there is no evidence for [Ne V] in the galaxy composite, a high-excitation emission line typically seen in AGNs and QSOs; see Figure 14 (inset). The galaxy composite is also distinguishable from the *Redrock* QSO composite in having a strongly rising continuum to long wavelengths; the continuum rise is significantly stronger than that seen in the missed QSO composite. This continuum rise to long wavelengths is due to the stellar emission from the host galaxy, as is apparent from the very strong Balmer and Ca H + K absorption features; see Figure 14 (inset). Many absorption features at the wavelengths expected for metal lines (e.g., Fe II, Al III, and S III) are also seen in the galaxy composite.

What is the nature of these systems, and what is the origin of the strong UV continuum, which is undoubtedly the primary reason for their selection within the QSO survey? These systems are most likely compact galaxies undergoing significant star formation activity, similar to the $z \approx 0.6$ post-starburst galaxies identified in the SDSS survey (Tremonti et al. 2007). The galaxies explored in Tremonti et al. 2007 had strong blueshifted Mg II absorption systems, indicative of powerful galactic winds with $v > 500 \text{ km s}^{-1}$. Our galaxy composite shows Mg II absorption but it is only slightly blueshifted. However, these DESI galaxies are several magnitudes fainter than those identified in SDSS and, consequently, they likely host less-powerful galactic winds. Furthermore, the galaxy composite only provides an average Mg II absorption constraint, and some individual systems may show significantly stronger blueshifted Mg II absorption.

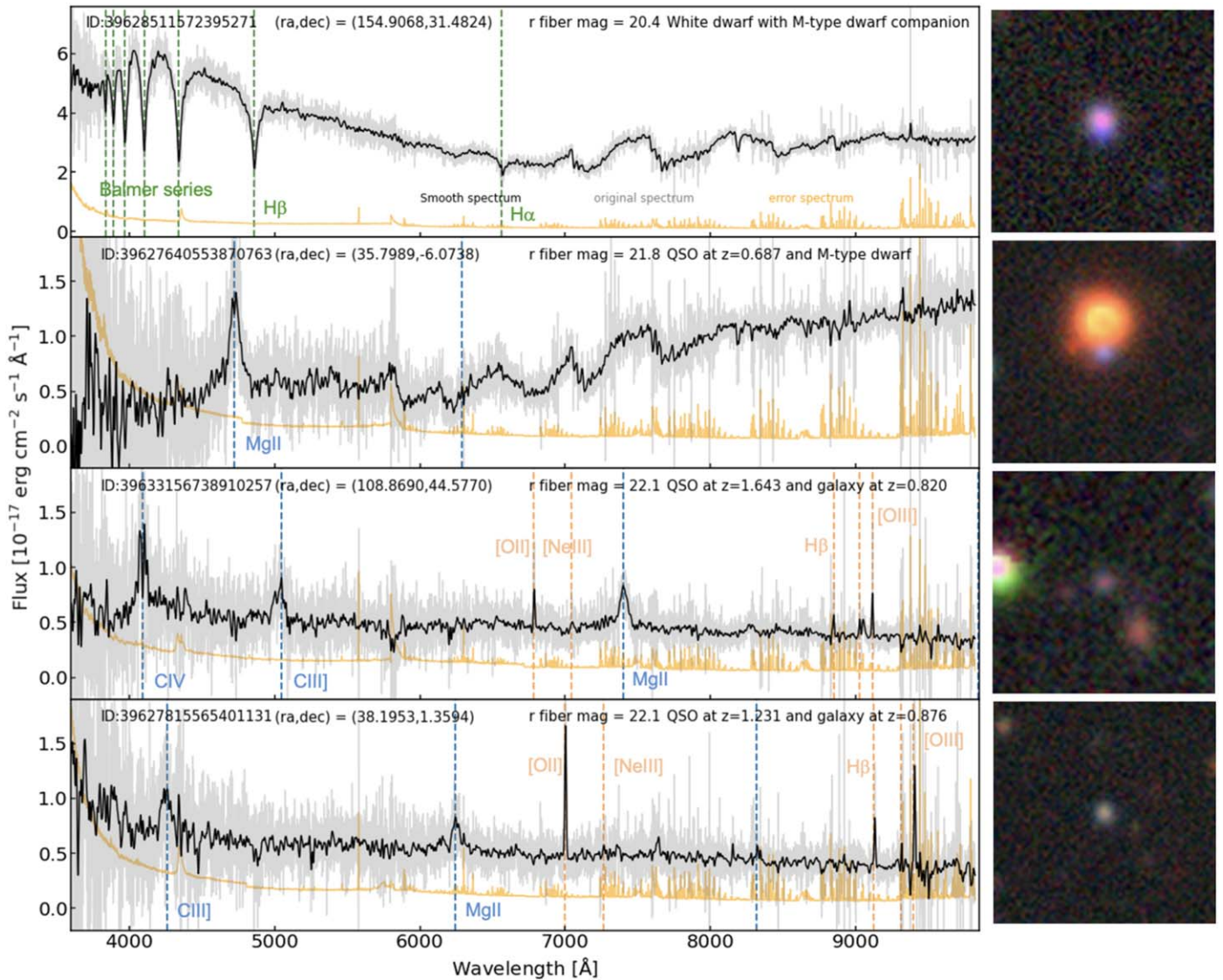


Figure 15. Examples of systems where two objects are contributing to the DESI optical spectrum (left) and thumbnail images ($18'' \times 18''$) centered on each target (right). Salient information for each target is plotted at the top of each spectrum including a description of the two contributing objects. For each target, both an unsmoothed (gray) and smoothed (black) spectrum are plotted along with the associated error spectrum (orange). All of the plotted spectra are high quality with VI quality flags of 4. Some of the most prominent emission and absorption lines are highlighted using vertical dashed lines and plotted in green (stellar absorption features), blue (QSO emission lines), and orange (galaxy emission lines).

Overall, on the basis of this brief analysis, we do not find any clear evidence for additional significant QSO activity from the galaxy composite, despite the strong UV continuum emission. Weak QSO (or AGN) activity may be present in a small fraction of the systems, which would be missed by our analysis but could be revealed through more detailed inspection and spectral fitting of the individual DESI spectra. On the basis of the VI, AGN features (i.e., [Ne V]; strong [O III]; C III] were noted by visual inspectors for just eight ($\approx 0.5\%$) of the 1491 high-quality galaxies, although the true number of unidentified AGNs could be much higher. Indeed, cross-matching the 101 high-quality galaxies with sensitive Chandra observations in the COSMOS field (Marchesi et al. 2016) revealed five X-ray detections with X-ray luminosities consistent with moderate-to-high-luminosity AGN activity ($\approx 10^{42}$ – 10^{44} erg s^{-1} ; Brandt & Alexander 2015), suggesting an X-ray AGN fraction within the galaxy population selected by the DESI quasar survey of $\approx 5\%$.

5.4. Two Sources Contributing to a Single Spectrum

The vast majority of the optical spectra in DESI are produced by a single object. However, for a small fraction of the spectra, at least two objects contribute to a single optical spectrum. We show some examples in Figure 15 from the deep-field VI. The first spectrum shows a stellar binary system composed of a hot white dwarf and a cool M-type red dwarf; the overall continuum shape is similar to that of a QSO but the strong absorption features identify the two stars. The second spectrum shows a QSO spectrum that is contaminated at long wavelengths by an optically bright M-type red dwarf, which outshines the QSO in the associated finding chart. The other remaining spectra show the clear signatures of a higher-redshift QSO spectrum contaminated by a lower-redshift galaxy. Overall, on the basis of the VI, $\approx 1\%$ of the optical spectra in the DESI quasar survey appear to be the superposition of at least two contributing targets. The systems where both targets

are galaxies and/or QSOs can provide a rich data set with which to probe the dark-matter component of the foreground target via strong lensing.

6. Summary

We have presented the first results from the VI of the optical spectra obtained during the SV phase of the DESI QSO survey. The SV spectra are more sensitive than those obtained in the main 5 yr survey (exposure times up to an order of magnitude longer), allowing for the construction of reliable “truth tables” with which to test the different target-selection approaches and spectroscopic pipelines. Furthermore, since the SV spectra are coadds of several shorter spectra, we also used the short-exposure spectra to characterize the reliability of the spectroscopic redshifts at the main 5 yr survey depth. Our analyses have focused on (1) the complete VI of all targets within three deep tiles and (2) the sparse VI across 27 additional SV tiles of QSOs missed by *Redrock* but identified by at least one of our three “afterburner” approaches (Mg II afterburner, SQUEzE, and/or QuasarNet). Our main results are as follows:

1. Overall, the main target selection is much more efficient at selecting high-quality QSOs ($\approx 71\%$) than the SV1 target selection ($\approx 34\%$); although, a nonnegligible fraction of high-quality galaxies and stars are identified with both target-selection approaches (main: $\approx 16\%$ galaxies; $\approx 6\%$ stars; SV1: $\approx 40\%$ galaxies, $\approx 12\%$ stars). See Section 3.1.
2. The standard *Redrock* pipeline reliably selects high-quality QSOs with a small fraction of low-quality contaminants but misses a nonnegligible fraction ($>10\%$) of the visually identified QSOs. However, we can reliably recover the majority of these missed QSOs using “afterburner” QSO identification approaches. From the combination of *Redrock* and the “afterburners,” we constructed a modified pipeline that is able to recover the majority of the missed QSOs while maintaining a small fraction of low-quality contaminants. See Sections 3.1–3.3.
3. At the shallow depth of the main 5 yr survey (≈ 1000 s), both the standard and modified pipelines exceed the DESI scientific requirements for good-redshift purity (assuming $dz < 0.0033$), redshift precision, and redshift accuracy, with the exception of the Ly α QSOs, which achieve the required 98% good-redshift purity for $dz < 0.010$. However, the modified pipeline recovers a substantially larger fraction of the overall QSO sample ($\approx 94\%$) than the standard *Redrock* pipeline ($\approx 86\%$). See Section 4.
4. The QSOs missed by the standard *Redrock* pipeline have redder overall spectra than the *Redrock*-identified QSOs due to an increased contribution from host-galaxy emission and/or dust extinction. The high recovery rate of the missed QSOs from the modified pipeline provides a larger QSO yield by identifying both lower-luminosity QSOs (i.e., analogous to distant “Seyfert galaxies”) and more dust-reddened QSOs than the standard pipeline. See Section 5.1.
5. A diverse range of QSOs is selected within the DESI QSO survey including host-galaxy dominated QSOs, dust-reddened QSOs, BALQSOs, narrow-line QSOs, and QSOs with intervening absorption features. In addition to providing important cosmological tracers, the DESI quasar survey reveals a large and diverse sample of QSOs for a broad range of astrophysical studies. See Section 5.2.
6. The vast majority of the galaxy contaminants do not appear to host QSO or AGN activity. Their strong UV spectral slopes and prominent host-galaxy signatures suggest they may be lower-luminosity analogs to compact post-starburst galaxies identified in SDSS. See Section 5.3.

The presented VI data set is a high-quality resource for quantifying the key metrics of the DESI survey to ensure that all users of the DESI data can be confident in the results and fully understand the data quality and any potential issues. Following each data-assembly release, we will re-visually inspect the spectra around the low-quality to high-quality threshold (VI ≈ 2.5) to further improve the quality of the overall VI data set and, consequently, use these refined data to recompute the key survey metrics. Several other VI efforts are also ongoing within the DESI survey, focused on assessing the redshift and spectral classification quality for specific scientific projects, in addition to further testing and validation of our “afterburner” identification approaches (following our sparse VI; see Table 4) with the objective of further improving the performance of the DESI quasar survey.

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The DESI Legacy Imaging Surveys consist of three individual and complementary projects: the Dark Energy Camera Legacy Survey (DECaLS), the Beijing-Arizona Sky Survey (BASS), and the Mayall z-band Legacy Survey (MzLS). DECaLS, BASS, and MzLS together include data obtained, respectively, at the Blanco telescope, Cerro Tololo Inter-American Observatory, NSF’s NOIRLab; the Bok telescope, Steward Observatory, University of Arizona; and the

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Data Availability

All data points shown in the figures are available in machine-readable form from Zenodo doi:[10.5281/zenodo.7316969](https://doi.org/10.5281/zenodo.7316969).

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